# ACTIVATION PARAMETERS AND REACTION MECHANISM IN OCTAHEDRAL SUBSTITUTION

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#### A. INTRODUCTION

Inorganic chemistry seeks to achieve a comprehensive understanding of how and why the chemistries of the various elements differ from one another. The essence of a great many important and intriguing chemical phenomena is reaction kinetics (witness the vast numbers of coordination compounds of chromium(III) and spin-paired cobalt(III) which can be isolated by virtue of the slowness of their substitution reactions), and understanding of the kinetics depends in turn upon the use of appropriate mechanistic models.

Unfortunately, the pursuit of reaction mechanisms has tended to become an end in itself, sometimes tending toward mediaeval scholasticism. The present article seeks instead to achieve some degree of rationalization of the kinetic phenomena, using as guidelines some simple mechanistic models based on current concepts of the nature of dissolved inorganic species. Mechanistic models are considered to have scientific value only if they can be conceived of as affecting the rate or course of a reaction in some clearly recognizable and characteristic way. In particular, it will be argued that if one adopts as a working hypothesis the view that cationic octahedral complexes of cobalt(III) normally undergo simple ligand substitution by a dissociative interchange mechanism, while for analogous complexes of other trivalent transition metals the mechanism is associative interchange, then the course, kinetic characteristics and stereochemistry of these reactions can be rationalized.

We are not presently able to calculate reaction rates in condensed phases absolutely. Consequently, the discussion must centre around relative rather than absolute quantities; that is, we shall be concerned primarily with the systematics of activation parameters for selected series of comparable reactions, and these will be used as a framework for the introduction of other material. Attention will be confined to octahedral substitution, mainly with reference to systems for which sufficient data of the kind and accuracy required are available; this article is therefore selective and not comprehensive. The reader is referred to the several excellent monographs on inorganic reaction mechanisms that have appeared in recent years for further information and other viewpoints<sup>1-7</sup>.

In the following, the solvent is water unless otherwise stated, and thermodynamic and extrathermodynamic quantities are referred to the standard state of unit molarity at 25°C, 1 atm and the prevailing ionic strength, rather than the conventional choice of unit molality at hypothetical infinite dilution.

#### B. MECHANISMS OF LIGAND SUBSTITUTION

The following concentric zones are generally considered to surround a metal ion of charge 2+ or more in water<sup>7-9</sup>: (a) the first coordination sphere, in which ligands are covalently bound to the central metal ion, as in  $(H_2O)_6C\dot{r}^{3+}$ ; (b) a second coordination sphere or solvation sheath which may

contain electrostatically retained anions (as an ion-pair or ion-triplet) or other foreign species in addition to numerous solvent molecules; (c) a region of transition to bulk solvent structure, and (d) bulk solvent. For cations of low charge/radius ratio such as  $K^+$ , and for many large anions such as  $I^-$  or  $ClO_4^-$ , which exert only a weak centrally orienting field on the solvent, zones (a), (b) and (c) may be indistinguishable, and the net effect of the presence of the ion will be a loss of pre-existing solvent structure.

However, for a cation with a strong central field in a protonic solvent such as water, hydrogen bonding by outwardly oriented protons of molecules in zones (a) and (b) may lead to the creation of a relatively well-ordered zone (b) several molecular layers deep. Thus, even though zone (c) will presumably be disorganized on account of the inevitable mismatch in structure between zone (b) and bulk solvent, the net effect of the presence of the ion on the solvent will be structure-making.

Thus, the replacement of X by Y in the first coordination sphere (c.s.) of a metal complex  $ML_iX$  must be preceded by the entry of Y into the second c.s., forming an "encounter complex". If Y is anionic, the water of solvation is evidently largely retained within this encounter complex (ion-pair) and is lost only when Y moves into the first c.s.". In other respects, however, solvent exchange reactions (X = Y = solvent, in reaction (1)) may be seen to be simply special cases of ligand substitution — but important ones, since solvent molecules far outnumber other potential ligands in the second c.s., and so entering ligands Y must compete with solvent molecules for entry into the first c.s.

$$ML_5X + Y \to ML_5Y + X \tag{1}$$

Following Langford and Gray<sup>4,7</sup>, but with some slight revisions of their definitions, we can anticipate three major mechanistic classes of heterolytic octahedral substitution reactions in solution.

Dissociative (D): the outgoing ligand X departs independently of the influence of Y, and the five-coordinate intermediate and its second c.s. lose all "memory" of X before the M-Y bond begins to form. This situation will be realized in two cases: (1) when ML<sub>s</sub> is long-lived relative to the timescale of exchange of potential ligands between the second c.s. and bulk solution; and (2) when X cannot be held in the second c.s. and passes immediately into bulk solution — as when X and ML<sub>s</sub> carry the same charge (e.g. HgCl\* separating from  $Co(NH_3)_5^{3+}$ ) or when X is uncharged and non-polar (e.g.  $N_2$  loss after nitrosation of  $Co(NH_3)_5^{3+}$ ).

Associative (A): the incoming ligand Y forms a seven-coordinate intermediate ML<sub>c</sub> XY which is long-lived in the above sense.

Interchange (I): exchange of X for Y occurs within a preassembled encounter complex  $[\{ML_5X\}Y, xH_2O]; M-Y$  bond-forming occurs before  $ML_5$  has lost

"memory" of X, that is, before the second coordination sphere has had time to relax. We can distinguish an associative interchange  $(I_a)$  mechanism, in which M—Y bond-making is essentially synchronous with M—X bond-making, giving a transient seven-coordinate intermediate ML<sub>5</sub> XY which will reflect the properties of both X and Y but which will be sufficiently short-lived as to warrant identification with the transition state. Conversely, if bond-making by Y occurs only after the M—X bond is broken but before X is lost from the second c.s., we have a dissociative interchange  $(I_d)$  in which a five-coordinate intermediate ML<sub>5</sub> is created transitorily inside an assemblage (first and second c.s. taken together) of essentially fixed overall composition.

The distinction between  $I_d$  and case (2) of the D mechanism serves to emphasize that the salient feature of the I mechanisms is that X is still present in the assemblage when M-Y bond-making occurs. Thus, we might define the I processes as ones which take place before the second c.s. has had time to relax, it being understood that this relaxation time will depend to some extent on the natures of X, Y,  $ML_5$  and the solvent. Solvation changes necessary for completion of the interchange amount to changes in the second c.s., so that the latter definition implies that solvent reorganization occurs prior to or after the rate-determining process, unless exchange of solvent between the second c.s. and bulk solution is much faster than the same movements of other X and Y species. The solvent content of the second c.s. will fluctuate with time about a mean solvation number; if a sufficient excess (or deficiency) of solvent is present in the second c.s. to trap X and release Y when a suitably aberrant molecular vibration occurs, net reaction will be observed — otherwise, the molecular configuration will snap back to its original state.

Bennetto and Caldin<sup>11</sup> propose a somewhat different model in which the movements of solvent and other species in the regions (a), (b), (c) and (d) are concerted. Our preferred view is that the presumed disorganization of the solvent in zone (c) effectively isolates zones (a) and (b) from external influences, on the timescale of the residence of species in the second c.s. An emphasis on the lifetime of five- or seven-coordinate intermediates relative to this timescale, as developed above, then leads to some significant predictions concerning relative rates and products of reactions.

Ironically, the original simple Ingoldian  $S_N 1/S_N 2$  dichotomy, borrowed as such from organic chemistry but now generally recognized as being inadequate to describe reactions such as eqn. (1), has recently been discarded by some organic chemists<sup>12</sup> in favour of a classification similar to Langford's.

#### C. RATE LAWS

## (i) Order with respect to solvent

Rate laws are governed by the stoichiometric reaction mechanism(s) inasmuch as the individual terms in the law reflect the composition of the perti-

nent transition states. However, since the solvent is usually present at near unit activity, it is unlikely to appear specifically in the empirical rate law even of solvolysis reactions, except under special conditions. As a rather extreme example, the aquation of  $(H_2O)_5CrN_3^{2+}$  proceeds, in the pH range 0—3 and at constant ionic strength, according to the rate law<sup>13—15</sup>

$$- d \ln \left[ \operatorname{CrN_3}^{2+} \right] / dt = h_1 \left[ H^+ \right] + h_0 + h_{-1} \left[ H^+ \right]^{-1} + h_{-2} \left[ H^+ \right]^{-2}$$
 (2)

which reflects the existence of four parallel reaction paths involving transition states of composition (exclusive of  $H_2O$ )  $CrN_3H^{3+}$ ,  $CrN_3^{2+}$ ,  $Cr(OH)N_3^{+}$  and  $Cr(OH)_2N_3^{\circ}$ . At sufficiently high acidities, however, substantial fractions of the substrate are present as  $(H_2O)_5CrN_3H^{3+}$ , for which the acidity constant  $K_1$  can be expressed in terms of the acidity function  $h_0$  as

$$K_1 = [CrN_3^{2+}]h_0/[CrN_3H^{3+}]$$
(3)

At the same time, the activity  $a_{\rm w}$  of water becomes considerably reduced from unity because of the need to solvate the large amounts of hydrogen ion present<sup>14</sup>. The reaction proceeds entirely via the CrN<sub>3</sub>H<sup>3+</sup> intermediate under these conditions, and the rate law becomes

$$- d \ln \left[ \operatorname{CrN_3}^{2+} \right] / dt = (k_2 a_m + k_3) h_0 / (K_1 + h_0)$$
(4)

The term  $k_2 a_w$  (which would account for  $\sim 94\%$  of the reaction rate for the path characterized by  $k_1$  at  $a_w \sim 1$ ) may be taken to indicate the associative attack of  $H_2O$  on  $(H_2O)_5CrN_3H^{3+}$  in the rate-determining step, while the term  $k_3$  presumably represents the dissociative decay<sup>14</sup> of  $(H_2O)_5CrN_3H^{3+}$ . For the aquation of  $(NH_3)_5CoN_3^{2+}$ , a rate law involving terms in  $[OH^-]$ ,  $[H^+]$  and  $[H^+]^2$  in addition to the pH-independent term has been reported<sup>16</sup>, but the  $[H^+]^2$  term evidently represents ionic strength effects on the term first-order in  $[H^+]$ .

The problem of reaction orders with respect to solvent is a difficult one to resolve, since any attempt to alter the solvent activity appreciably, e.g. by adding large amounts of some other solvent, leads to drastic changes in the structure, dielectric constant and other solvent properties, and introduces uncertainties concerning the relative distribution of the two types of solvent molecules between the second c.s. and the bulk solvent, King and his coworkers<sup>17-24</sup> have made considerable progress toward the elucidation of solvation and substitution processes at Cr<sup>III</sup> centres in mixed aqueous solvents, but usually there remains some ambiguity concerning the order of reaction with respect to water (or the diluent). Langford and co-workers<sup>25,26</sup> and Frankel<sup>27</sup> have shown that information concerning the composition of the second c.s. of Ni<sup>II</sup> and Cr<sup>III</sup> in suitable mixed solvents is obtainable from NMR data, but even here care is needed in interpreting the results mechanistically; thus, for Cr(DMSO)<sub>6</sub><sup>3+</sup> (DMSO = dimethylsulphoxide) in DMSO—nitromethane mixtures, it appears that one DMSO is uniquely firmly held in the

second c.s., so that, although DMSO ligand exchange is evidently associative<sup>28</sup>, the exchange rate is essentially independent of solvent composition for the higher DMSO contents.

For our present purposes, then, it seems advisable to avoid these complications by concentrating on single-solvent systems with fairly low solute contents, and to consider the role of solutes Y in the rate law for eqn. (1) according to mechanistic type.

#### (ii) D and A mechanisms

Detailed considerations of the rate laws for D mechanisms and of their manifestations have been published recently<sup>1,29,30</sup>, and need not be repeated here. At the simplest level, the kinetic consequences of a D mechanism are:

- (a) That the dependence of d ln [L<sub>5</sub>MY]/dt upon [Y] is first-order at low [Y], and zeroth-order at sufficiently high [Y] (corresponding to the trapping of all L<sub>5</sub>M fragments by Y rather than by solvent or a competitor Y').
  - (b) That the limiting value of the d  $\ln [L_5MY]/dt$  is the same for all Y.
- (c) That  $-d \ln [L_sMX]/dt$  is independent of the nature and concentration of Y.

Effect (a) is also to be expected for an I mechanism, if the fraction of  $L_sMX$  which forms an encounter complex (ion pair, etc.) { $L_sMX$ , Y} becomes large at large [Y], and conceivably even for an A mechanism, if substantial fractions of  $L_sMX$  become complexed as  $L_sMXY$  in the pre-equilibrium step (an unlikely occurrence even for  $Pt^{II}$  complexes which react by an A mechanism, and highly improbable for sterically corrested octahedral species).

#### (iii) I mechanisms

For cationic  $ML_5X$  and anionic Y, ion pairing and the likely orientation of the solvating water molecules with the negative O end of the dipole toward the positive centre M make it highly unlikely that an  $ML_5$  cation would survive for as long as the relaxation time of the second c.s. Thus, substitutions of cationic complexes will almost inevitably be I rather than D, and it is significant that most well-established cases of D behaviour are associated with anionic or neutral complexes<sup>29</sup>, though it evidently can occur in cations subject to special effects such as the powerful trans-activation<sup>30</sup> by Cl in  $Rh(OH_2)_5Cl^{2+}$ . For an I mechanism, the change from first-order to zeroth-order dependence of d ln  $[L_5MY]/dt$  on [Y] reflects saturation of ion-pair formation, and the limiting value of d ln  $[L_5MY]/dt$  (=  $k_i$ ) is the rate coefficient for the internal exchange of X for Y within the ion-pair

$$d \ln \{L_5 M Y\} / dt = k_i Q_{1D} [Y] / (1 + Q_{1D} [Y])$$
(5)

where  $Q_{1p}$  is the formation quotient for the ion-pair {ML<sub>5</sub>X, Y}. This formulation, which was developed by Langford and Muir<sup>31</sup> for the Co(NH<sub>3</sub>)<sub>5</sub>X<sup>(3-n)\*</sup> series, has been challenged recently by Burnett<sup>32</sup>, who considers that the rate

data should be interpreted with allowance for the possible involvement of ion triplets (some involving  $ClO_4$ ), but Barber and Reynolds<sup>33</sup> have subsequently shown that the Langford—Mair treatment (eqn. (5)) is essentially correct.

#### (iv) Ia mechanisms

For the anation of  $ML_5OH_2$  cations in water by anionic Y via an I mechanism, the rate of entry of Y into the first c.s. from the second can exceed the rate of exchange of coordinated water with solvent water (first-order rate coefficient  $k_{\rm ex}$ ) only if Y initiates the process of its own entry (i.e. if the mechanism is  $I_a$ ) and if Y is a markedly better nucleophile toward M than is  $H_2O$  (bearing in mind that  $H_2O$  is always in large excess over Y in the second c.s.). This is likely to be realized when M is a class "b"<sup>34</sup> or "soft"<sup>35</sup> acceptor, in which case it would form better covalent links with  $CI^-$ ,  $I^-$ , etc. than with the typically "hard" base  $H_2O$ .  $I_a$  substitutions are anticipated for such substrates in any event<sup>36</sup>, and indeed we find  $k_i > k_{\rm ex}$  for the anations of Rh(NH<sub>3</sub>)<sub>5</sub>OH<sub>2</sub><sup>3+</sup> (refs. 37, 38) and Ir(NH<sub>3</sub>)<sub>5</sub>OH<sub>2</sub><sup>3+</sup> (refs. 39, 40) by Cl<sup>-</sup> (an associative mechanism for aquations of Rh<sup>III</sup> haloammines was first suggested by Johnson et al.<sup>41</sup>; this is the microscopic reverse).

However, it is to be expected that, for classic class "a" acceptors such as  $Cr^{III}$  which display  $I_a$  behaviour in other respects (see below),  $k_i$  is likely to be less than  $k_{ex}$  for most Y, since water is a typical hard ligand and will be available in large excess over other Y. Possible successful competitors might be the hard ions F,  $NO_3^-$  and  $SO_4^{2-}$ , but the first introduces complications due to its Brønsted basicity (discussed later) and the latter two tend to destroy  $Cr^{III}$  ammine complexes after coordination through cis-activation (probably by virtue of a proclivity to  $I_a$  ring closure) , so that definitive experiments likely to show  $k_i > k_{ex}$  are difficult to realize for class "a" interactions in water. To date, the detailed application of eqn. (5) to  $Cr^{III}$  substitutions has been tried only for the anations of  $Cr(NH_3)_5OH_2^{3+}$  by  $CI^-$  and  $NCS^-$ , and for these  $k_i \sim 0.02k_{ex}$  and  $0.04k_{ex}$  respectively 44.45 at 29.7°C and ionic strength I = 0.15 M.

The data of Fogel et al.<sup>46</sup> for the anation of  $(H_2O)_6Cr^{3+}$  by  $SO_4^{2-}$  at  $45^{\circ}C$  indicate that  $k_i$  is at least  $0.6k_{\rm ex}$  (ref. 47) but probably does not exceed  $k_{\rm ex}$ . However, as Tobe<sup>48</sup> points out, the anations of  $(H_2O)_6Cr^{3+}$  exhibit another feature expected of  $I_a$  mechanisms, viz. that  $k_i$  should vary widely as  $Y^{n-}$  is changed. For ion pairs of  $(H_2O)_6Cr^{3+}$  with NCS and Cl at  $25^{\circ}C$  and  $I \cong 1$  M,  $Q_{\rm IP}$  is about 1.0 and 0.9 M<sup>-1</sup> respectively<sup>49,50</sup>. From these and the bimolecular rate coefficients  $k_{\rm an}$  for the anation reactions calculated in the manner of Espenson<sup>51</sup> from our own compilation<sup>52\*</sup>  $(25^{\circ}C, I \cong 1.0 \text{ M}), k_i/k_{\rm ex} = 0.6, 0.01, 2 \times 10^{-3}$  and (assuming  $Q_{\rm IP}$  again  $\approx 1.0$  M<sup>-1</sup>)  $3 \times 10^{-4}$  for  $Y^{-} \approx NCS^{-}$ ,

<sup>\*</sup> There are some discrepancies between the two sources, e.g. our calculations give  $8.7 \times 10^{-9} \, M^{-1} \, \text{sec}^{-1}$  for Y = Br and 1.66  $\times 10^{-6} \, M^{-1} \, \text{sec}^{-1}$  for NCS.

Cl., SCN and  $\Gamma$  respectively. Thus, the nucleophilic powers of Y<sup>n-</sup> toward (H<sub>2</sub>O)<sub>6</sub>Cr<sup>3+</sup> vary over more than a 10<sup>4</sup>-fold range, from SO<sub>4</sub><sup>2-</sup> and NCS to  $\Gamma$ . Tobe<sup>48</sup> stressed that, despite these results, "an I<sub>2</sub> mechanism is not mandatory [for Cr<sup>III</sup> centres] unless a plausible explanation of the very high competing nucleophilicity of solvent water is forthcoming", but the above hard/soft donor/acceptor argument provides at least a partial rationale.

### (v) $I_d$ mechanisms

For an  $I_d$  process,  $k_i$  must necessarily be less than or equal to  $k_{ex}$ , and, in the absence of special effects,  $k_i$  values should be rather similar for a variety of Y, since Y will be scavenged by  $ML_5$  more or less on a statistical basis in competition with water. This is well illustrated by the anations of  $Co(NH_3)_5OH_2^{3+}$ , for which  $k_i \cong 0.2k_{ex}$  for a surprising variety<sup>31</sup> of Y (an apparent anomaly is  $Y = N_3$ , for which  $k_i \cong k_{ex}$ , but here the mechanism seems to involve special hydrogen-bonding effects tantamount to  $HN_3$  formation in the transition state)<sup>53</sup>.

The above applications of eqn. (5) require that the value of  $k_{\rm ex}$  used be that for solvent exchange by the complex within an ion pair, rather than  $k_{\rm ex}$  for the free ion. Watts, in particular, has stressed that the ion-pair should be regarded as a new chemical species with properties that may differ from those of the free ions, and there is ample evidence (see, e.g. ref. 54 and citations therein) that ion-pairing can accelerate octahedral substitution to some extent<sup>55</sup>. However, for chloride and other ion-pairs with  $M(NH_3)_5OH_2^{3+}$  (M = Cr, Rh, Ir)<sup>37,39,40,44</sup>,  $k_{\rm ex}$  has proved to be essentially the same as for free  $M(NH_3)_5OH_2^{3+}$ .

Non-aqueous solvents: Ion-pairing is much more marked in most non-aqueous solvents than in water  $^{55}$ , and behaviour according to eqn. (5) becomes very obvious, although ion-triplet formation can complicate matters. For replacement of DMSO in cis-Co(en)<sub>2</sub>NO<sub>2</sub>(DMSO)<sup>2+</sup> in DMSO solvent by Y = NO<sub>2</sub>, Cl and NCS,  $k_i$  was about the same for all three Y and about  $0.3k_{\rm ex}$ ; again Co<sup>III</sup> displays typical I<sub>d</sub> behaviour  $^{56}$ . For Br anation of cis- and trans-Cr(en)<sub>2</sub>-Br(DMSO)<sup>2+</sup> in DMSO, some degree of bond-making by Br seems to occur in the transition state  $^{57}$ , while, for anation of Cr(DMSO)<sub>6</sub>  $^{3+}$  in DMSO  $^{58,59}$ ,  $k_i \cong 4k_{\rm ex}$  for Y = NCS and as much as  $100k_{\rm ex}$  for Y = N<sub>3</sub> (ref. 28); thus, Cr is exhibiting classic I<sub>2</sub> behaviour, although Lo and Watts  $^{59}$  consider that a case can still be made for an I<sub>d</sub> mechanism.

#### (vi) Homolytic mechanisms

The foregoing considers only heterolytic M—X bond fission, but some homolytic decompositions of transition-metal complexes evidently occur even amongst aquo complexes in water. Thus,  $HNC_5H_4CH_2Cr(OH_2)_5^{3+}$  seems to decay (in acidic  $O_2$ -free solution at temperatures > 30°) via a pre-equilibrium

giving  $Cr^{2+}$  and  $CH_2C_5H_4NH^+$ , the rate-determining first-order decay of the last species giving rise to overall half-order kinetics with respect to the substrate<sup>60</sup>. Other salient features of the rate law are a powerful retarding effect of added  $Cr^{2+}$  (in accordance with the existence of the pre-equilibrium) and the lack of dependence of the rate on  $[H^+]$  in acidic solution<sup>60,61</sup>, while the reaction products clearly support a radical mechanism<sup>61</sup>. If oxygen is present, the rate-controlling step becomes the  $Cr^-C$  bond fission, and the kinetics become first-order in substrate. In retrospect, the recombination rate of  $Cr^{2+}$  and  $CH_2C_5H_4NH^+$  derived in ref. 60 seems unrealistically low (and is in fact inconsistent with the assumption of steady state kinetics), but the gross features of the mechanism are still clearly seen in the form of the rate law, the effect of  $Cr^{2+}$  and of  $O_2$ , and the nature of the products (with and without  $O_2$ ).

By contrast, methyl- and several hydroxyalkylpentaaquochromium(III) species aquate via simple first-order paths which exhibit strong H<sup>+</sup> catalysis, and these facts (together with the magnitudes of  $\Delta H^*$  and  $\Delta S^*$ ) point to rate-determining heterolysis involving a virtual carbanion<sup>62</sup>. Thus, homolysis is evidently unusual even in such bizarre compounds as these alkylpentaaquochromium complexes.

## D. FREE ENERGIES OF ACTIVATION, $\Delta G^*$

Kinetic and thermodynamic phenomena may be discussed together in compatible terms by application of transition state theory  $^{63}$ , according to which rate coefficients may be expressed as free energies of activation  $\Delta G^*$  (=  $-\ln kh/\kappa T$ , assuming unit transmission coefficients). For metal complexes, it is well recognized that high thermodynamic stability in general is not necessarily correlated with kinetic inertness  $^{64}$ , but, within a series of related reactions proceeding by a common mechanism, it is reasonable to expect a correlation  $^{65}$  between  $\Delta G^*$  and  $\Delta G^0$ . If  $G_{MX}$  and  $G_{MY}$  represent the free energies for the dissociation of the reactants  $L_5MX + Y$  and the products  $L_5MY + X$  respectively to  $L_5M + X + Y$  (with appropriate solvation) and  $G^*$  is the instantaneous free energy of the system relative to the reactants as reaction proceeds from reactants to products, we can write

$$G^* = bG_{MX} - (1 - c) G_{MY}$$
 (6)

where b and c represent the fractional extents of M—X and M—Y bond formation (in terms of free energy). For the transition state, we write  $G^* = \Delta G^*_{MY}$ ,  $b = \beta$ , and  $c = \gamma$ .

#### (i) Dissociative mechanisms

Figure 1 represents an  $I_d$  reaction profile. The two curves are analogous to Morse functions for the stretching and rupture of the  $L_5M-X$  and  $L_5M-Y$ 

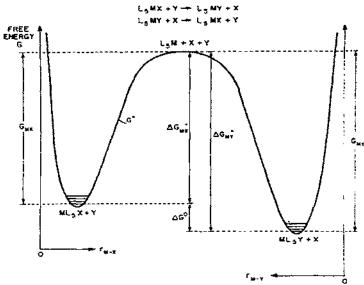


Fig. 1. Free-energy profile for an Id mechanism.

bonds, except that they are presented here in terms of free energies and include appropriate solvation. If the mechanism were D, and the five-coordinate intermediate were long-lived as defined in Section B (case 1), a third free-energy trough would appear between the two complete pseudo-Morse curves, representing the intermediate  $L_5M$ . As the diagram is drawn, the level marked  $L_5M + X + Y$  refers to these species assembled in an  $I_d$  encounter complex. Here we see that the presence of Y has no influence on  $\Delta G_{MX}$ , and that  $\Delta G_{MX}^* = G_{MX}$ .

$$\Delta G_{\text{MX}}^* = G_{\text{MX}} = G_{\text{MY}} + \Delta G^{\circ} \tag{7}$$

Thus, for a series of reactions with the same Y, we have constant  $G_{MY}$  and a linear free energy relationship (LFER) of unit slope; that is, in the Prønsted relationship

$$\Delta G^* = \alpha \Delta G^\circ + \text{constant} \tag{8}$$

we have for the Brønsted slope

$$\alpha = (\partial \Delta G^*/\partial \Delta G^\circ)_{P,T} = 1.0 \tag{9}$$

In simple terms, the existence of an LFER of  $\alpha = 1.0$  means that the rate coefficient for the *reverse* reaction is essentially the same for all X, because the equilibrium quotient is the quotient of the rate coefficients of the forward and reverse reactions.

#### (ii) Free-energy relationships in Com substitutions

This behaviour is exemplified by the aquation of dipositive acidopenta-amminecobalt(III) complexes (M =  $Co^{III}$ , L =  $NH_3$ , Y =  $H_2O$ , various X<sup>-</sup>)  $^{4,7,66-68}$ , for which an excellent LFER of slope 1.00 ± 0.03 exists for cases in which Co-X and  $Co-OH_2$  bond-breaking is involved (cases such as X = arsenate, where bond-breaking occurs<sup>69</sup> between O and As rather than Co and O, must obviously be excluded). Alternatively, we may note that the anation rate coefficients for all X<sup>-</sup> lie within a two-fold range, despite a  $10^4$ -fold variation in aquation rates  $k_{CoX}$ . For dinegative leaving groups  $X^{2-}$ , a plot of slightly different intercept (corresponding to 10-fold higher  $k_{CoX}$  for a given equilibrium quotient) but the same unit slope seems to apply  $^{68}$ , the difference in intercept being attributable to the neglect of the ion-pairing pre-equilibrium. Ion pairing can be expected to be fairly constant for a given charge-type  $^{70}$  but more significant for  $X^{2-}$  than  $X^-$ , although the influence of formal charge is sometimes much less than anticipated  $^{38,71}$  and in fact the separation of the  $Co(NH_3)_5 X^+$  and  $Co(NH_3)_5 X^{2+}$  lines is not great.

Haim<sup>68</sup> treats the LFER's for aquations of  $Co(NH_3)_5 X^{(3-n)+}$  in terms of a formal equilibrium between transition states

$$[Co(NH_3)_5OH_2^{3+}]^* + X^{n-} \stackrel{Q^*}{\rightleftharpoons} [Co(NH_3)_5X^{(3-n)+}]^* + H_2O$$
 (10)

and finds that  $Q^*$  is almost constant for a given n ( $Q^* \cong 0.3 \, M^{-1}$  for n = 1,  $\cong 3.0 \, M^{-1}$  for n = 2). The interpretation of this is in accordance with the picture outlined above.

A closely similar LFER of  $\alpha = 1.0$  exists<sup>72</sup> for the base hydrolyses of  $Co(NH_3)_5 X^{(3-n)+}$ , except that in this case the dinegative anions  $X^{2-}$  such as sulphate correlate with the  $X^{-*}$ . There is now ample evidence<sup>73-76</sup> that base hydrolyses of  $Co^{III}$  ammine or amine complexes proceed via a deprotonated (conjugate base, cb) species which dissociates in a rate-determining D step to give a five-coordinate intermediate (common to a given series of complexes) that survives long enough by virtue of  $cis \pi$ -bonding by the amido group<sup>77,78</sup> to allow  $CoL_5$  to lose all "memory" of X, to undergo extensive stereochemical change and to discriminate to some extent between various alternative replacement ligands Y. This  $D_{cb}$  mechanism involves the Brønsted acidities of  $CoL_5X$  as well as  $Q_{IP}$ ; the effects of changing the formal charge of the complex on these two factors are likely to be roughly compensatory, and so a single LFER fortuitously covers all members of a series  $CoL_5X$  regardless of the size of the charge on  $X^{n-}$ .

<sup>\*</sup> This correlation was originally given 72 in terms of stability quotients based on  $Co(NH_3)_5OH_2^{3+}$  rather than  $Co(NH_3)_5OH_2^{2+}$ , but clearly the  $\Delta G^0$  axis need only be rescaled by an amount corresponding to  $pK_a$  for  $Co(NH_3)_5OH_2^{3+}$ .

The above LFER's are seen to be consequences of  $I_d$  and  $D_{cb}$  mechanisms\*, although it is conceivable that D mechanisms might lead to a breakdown of the LFER if the five-coordinate intermediate is very long-lived (cf. some electron transfer reactions which involve intermediates of marked stability)<sup>82</sup>. Alternatively, the involvement of an unusually long-lived intermediate  $ML_s$  may result in an LFER of slope less than 1.0, as apparently happens in the rather special case of the aquations of trans-Co(trans[14]diene) $X_2$ \* complexes<sup>83</sup>. However, we have argued elsewhere<sup>45</sup> that, in general,  $I_d$  and D mechanisms will normally both lead to LFER's of unit slope.

Bifano and Linck<sup>84</sup> showed that a rough LFER exists between the rates of spontaneous and Hg<sup>2+</sup>-induced aquations of Co(en)<sub>2</sub> LCl species of various charges and geometries. These authors state that the slope of this LFER is about 0.6, but a value of 0.8 ± 0.2 would be more representative of the data as a whole, and it seems inadvisable to read much significance into these values. The lack of any similar correlation between the rates of the Fe<sup>2+</sup>- and the Hg<sup>2+</sup>-catalyzed aquations<sup>84</sup> is not unexpected, since the former involves reduction of the Co<sup>III</sup> centre.

## (iii) Reaction products of D and I, processes

The  $D/I_d$  distinction becomes clearer when the nature and stereochemistry of the reaction products are considered. In an  $I_d$  mechanism, the leaving group  $X^{n-}$  remains in the second c.s. while Y enters; thus, Y = solvent will be strongly favoured over an anionic  $Y^{m-}$  because of the electrostatic effect of  $X^{n-}$  (i.e. an ion triplet is improbable relative to an ion pair and  $Y^{m-}$  will generally not be retained in the second c.s.). Indeed, the initial product of spontaneous substitution reactions of aqueous  $Co(NH_3)_5X^{(3-n)+}$  is evidently always  $Co(NH_3)_5OH_2^{3+}$ , even in the presence of high  $[Y^{n-}]$ . The five-coordinate intermediate species are thus not simply  $CoL_5^{3+}$ , but rather  $[\{CoL_5^{3+}\}X^{n-}.xH_2O]$ , so that they differ from one another, and from  $[\{CoL_5^{3+}\}xH_2O]$ , according to the nature of  $X^{n-}$ .

If, however, X cannot be retained in the second c.s., the intermediate will be  $[\{CoL_5^{3+}\}.\pi H_2O]$ , and will be the same for all reactions of this type. Cases in point are the "induced" aquations, for example, of halo complexes by  $Hg^{2+}$  and of azido complexes of NO<sup>+</sup>, in which the leaving groups are  $HgX^+$  and  $(N_2 + N_2O)$  respectively and obviously differ from anionic leaving groups in being unable to form ion pairs with the residual cationic five-coordinate complex. Thus it comes about that, for induced aquations within several series of  $Co^{III}$  complexes, stereochemical and competition experiments clearly show the presence of common intermediates which are not the same

<sup>\*</sup> An associative attrck by H<sub>2</sub>O may occur<sup>79,80</sup> in the aquation of trans-Co(trien)Cl<sub>2</sub>\* (but see ref. 2, p. 261), and z complicated associative mechanism has been suggested for the replacement of Cl<sup>-</sup> by 1,2-cyclohexanedione in aqueous trans-Co(en)<sub>2</sub>Cl<sub>2</sub>\*, which proceeds faster than Cl<sup>-</sup> aquation<sup>81</sup>.

as those encountered in the analogous spontaneous aquations<sup>80,86-89</sup>. Indeed, the mere fact that anionic Y<sup>n-</sup> can compete significantly for the  $CoL_5^{3+}$  generated in induced aquation arises because the departing group is not a dipolar neutral ligand or an anion and so is immediately lost from the second c.s.; the intermediate is nonetheless short-lived, and therefore shows little discrimination between alternative Y<sup>-</sup> other than a slight preference for those which require the least desolvation  $(NO_3^- > Cl^- \cong Br^- > F^-)^{90}$ .

For the  $D_{cb}$  mechanism, the existence of a common five-coordinate amidocobalt(III) complex throughout a given series of base hydrolyses is again reflected in the results of competition<sup>91</sup> and stereochemical<sup>78,92,93</sup> experiments. The lifetime of  $Co(NH_3)_4NH_2^{2+}$  generated in such experiments is evidently of the order of the timescale of diffusion-controlled processes<sup>90</sup>, but it is at least long enough to permit the complete separation of  $X^{n-}$  from the second c.s.

#### (iv) Associative mechanisms

While the existence of linear Brønsted plots of slope 1.0 is expected for I<sub>d</sub> or D mechanisms involving short-lived intermediates, it is not immediately obvious whether smooth Brønsted plots should exist at all for associative mechanisms, or, if they do, whether α should be constant<sup>94</sup>. Edwards was the first inorganic chemist to consider this problem, and the reader is referred to Chapters 3 and 4 of his book<sup>5</sup> for applications of free energy relationships to the associative substitution reactions occurring at square-planar Pt<sup>II</sup> centres; however, it was not evident in 1964 whether the consequences of associative substitution at octahedral centres should be considered.

Following Leffler and Grunwald<sup>65</sup>, we may suppose that  $\Delta G_{MX}^{\bullet}$  is a linear combination of the free energies of reactants and products, and present eq. (6) in terms of small increments  $\delta$ .

$$\delta G^* = b \, \delta G_{MX} - (1 - c) \delta G_{MY} \tag{11}$$

If we assume that M-X bond-breaking occurs only synchronously with M-Y bond-making (i.e. that we have an  $I_a$  mechanism), then changes in  $G_{MY}$  will be geared to changes in  $G_{MY}^{*}$ , so that

$$\delta G^* = \alpha \delta G_{MY} + (1 - \alpha) \delta G_{MX} \tag{12}$$

where  $\alpha$  is an approximate measure of the fractional displacement of the transition state along the reaction coordinate from reactants to products.

<sup>\*</sup> Leffler and Grunwald<sup>65</sup> justify the development of eqn. (12) from eqn. (11) on the grounds that it is reasonable to assume that changes in  $\delta G^*$  will be intermediate to the corresponding changes for the reactants and the products; in fact, the parameters b and c of eqn. (11) can be replaced by the single parameter  $\alpha$  only if there is a physical link between bond-making and bond-breaking, or if  $\alpha$ , b and c are 1.0.

From eqn. (12), the Brønsted relation follows

$$\delta \Delta G^* = \alpha \delta \Delta G^0 \tag{13}$$

The transition state will bear more resemblance to the reactants than the products, and  $\alpha$  will be considerably less than 0.5, if  $\Delta G^0$  is strongly negative; conversely, if  $\Delta G^0$  is strongly positive,  $\alpha$  will be between 0.5 and 1.0, and the transition state will be essentially product-like. In the case of the  $I_d$  substitutions discussed above, the "products" are solvated  $\{ML_5 + X + Y\}$ , as far as the rate-determining reaction profile is concerned, so that  $\alpha = 1.0$ . For slow  $I_a$  substitutions,  $\Delta G^*_{MX}$  is large ( $\sim 25$  kcal.mol<sup>-1</sup> in typical cases) relative to  $\Delta G^0$  ( $\sim 0 \pm 5$  kcal.mol<sup>-1</sup>), so that  $\alpha$  should be close to 0.5 and will likely appear constant within the experimental uncertainty, even though the Brønsted plot should be curved if a large enough range of  $\Delta G^0$  can be covered. In other words, slow  $I_a$  substitutions are expected to give rise to LFER's of slope  $\alpha \simeq 0.5$ .

Atom (especially proton) and electron transfer reaction rates often yield non-linear Brønsted plots, and can be seen to be crudely analogous to  $I_a$  substitution in  $ML_5X + Y$  if this reaction is viewed as the transfer of an  $ML_5$  entity (common within a series of  $ML_5X$ ) from X to Y. For proton transfers, Bell<sup>95,96</sup> showed that the Brønsted equation (13)  $(1 > \alpha > 0)$  should hold for (e.g.) the protonation of a common base by a series of related acids if the Morse curves of the acids were parallel and not too widely separated, and further that  $\alpha = \frac{1}{2}$  if the slopes of the Morse curves were equal (but of opposite sign) to that of the Morse curve of the protonated base at the point of intersection. Marcus<sup>97</sup> has shown theoretically that, for atom transfer between relatively large weakly interacting polyatomic molecules, smooth Brønsted relationships should exist with the local Brønsted slope being

$$\alpha = \frac{1}{2} \left[ 1 + (\Delta G^{0} / 4 \Delta G_{0}^{*}) \right] \tag{14}$$

where  $\Delta G_0^*$  is the value of  $\Delta G^*$  corresponding to  $\Delta G^0 = 0$ , and  $\Delta G^0 < 4\Delta G_0^*$ . In fact, smooth Brønsted plots with curvatures of the magnitudes predicted by eqn. (14) have been shown by Marcus<sup>97</sup> and most recently Kreevoy and Oh<sup>98</sup> to fit the experimental data for several series of proton transfer reactions, in which  $\Delta G_0^*$  is not large relative to  $\Delta G^0$ .

Kreevoy and Oh's analysis also shows that most of the heavy-atom and solvent reorganization that accompanies proton transfer precedes or follows the rate-determining step. When defining the I mechanisms of octahedral substitution (Section B), we suggested that this also applies to solvent reorganization in these systems in which the five- or seven-coordinate intermediates are not long-lived on the timescale of relaxation of the second c.s.

From eq. (14), we see that  $\alpha$  is about  $\frac{1}{2}$  for atom-transfer reactions if  $\Delta G_0^*$  is much greater than  $|\Delta G^0|$ . The latter condition holds for slow  $I_a$  substitutions of  $ML_5X$ , to which the Marcus theory was not intended to apply but which may show some similarities to atom-transfer, as noted above.

To date, only German, Dogonadze and co-workers. have published a detailed theoretical approach to the question of the systematics of the kinetics of octahedral aquation reactions. They assume that the potential energies of the initial and final complexes,  $ML_5X$  and  $ML_5OH_2$ , are parabolic functions of the displacements along the reaction coordinate away from the ground-state configuration. This leads to eqn. (15) for the activation energy  $E_a$  (this could be recast in terms of free energies, for the purposes of this section)

$$E_{a} = E_{r}^{aq} + [E_{s} + \Delta E + E_{r}^{x} - E_{r}^{aq}]^{2} / 4E_{s}$$
 (15)

where  $E_r^{x}$ ,  $E_r^{xq}$  and  $E_s$  are the reorganizational energies of X, water and ML<sub>5</sub>X, and  $\Delta E$  is the internal energy change for the reaction on going to equilibrium. If  $E_r^{x}$ ,  $E_r^{xq}$  and  $E_s$  could be taken to be roughly independent of  $\Delta E$  for a series of reactions,  $E_a$  would correlate with a quadratic function of  $\Delta E$ , and the local slope  $\alpha_E$  of a plot of  $E_a$  vs.  $\Delta E$  (or the Brønsted slope, if eqn. (15) is written in terms of free energies) would be given by

$$\alpha_{F} = \frac{1}{2} + \left[ (\Delta E + E_{r}^{x} - E_{r}^{aq}) / 2E_{s} \right]$$
 (16)

German and Dogonadze<sup>100</sup> calculate that when ML<sub>5</sub> is Cr(OH<sub>2</sub>)<sub>5</sub><sup>3+</sup>,  $E_{\rm aq}$  (which is constant)  $\simeq 21$ ,  $E_{\rm r}^{\rm x} \simeq 5$ , and  $E_{\rm s} = 40$  (X = I), 47 (Cl) and 65 (F) kcal.mol<sup>-1</sup>, while  $\Delta H^0$  ( $\simeq \Delta E$ ) is known to range between +2 and -8 kcal.mol<sup>-1</sup> for a variety of typical X<sup>-</sup>. Thus, in practice, the difference ( $\Delta E + E_{\rm r}^{\rm x} - E_{\rm r}^{\rm aq}$ ) is markedly less than  $2E_{\rm s}$ , so that  $\alpha_E$  and  $\alpha$  will be roughly constant and near 0.5. In other words, the term in  $\Delta E^2$  in the quadratic relating  $\Delta E$  and  $E_{\rm a}$  is unimportant relative to that in  $\Delta E$ , so that once again  $I_{\rm a}$  substitution processes are predicted to lead to an approximate LFER of slope about 0.5.

However, it is unlikely that  $\Delta E$  is independent of  $E_r^x$  and  $E_s$ , though changes in these parameters throughout a reaction series may be largely self-compensatory. Furthermore, the assumption of a parabolic shape for the Morse curve over the region of interest seems unrealistic, since we are concerned with the stretching of the M-X bond to destruction, rather than the small vibrational displacements for which the parabolic relationship suffices. This point is illustrated in Fig. 2, which is Fig. 1 redrawn so that the pseudo-Morse curve for  $ML_s$ -X bond stretching intersects that for  $ML_s$ -Y, which means that Y-M bond formation is under way before M-X fission is complete (an  $I_a$  criterion).

Figure 2 corresponds to a combination of German—Dogonadze energy profiles for  $ML_5X$ ,  $ML_5Y$ , free X and free Y, recast insterms of free energy and referred to the common reference free energy of  $\{ML_5 + X + Y\}$ , appropriately solvated (as in Fig. 1). The algebraic sum of these gives the free-energy profile  $(G^*)$  for an  $I_a$  mechanism, which will therefore have a maximum at  $G^* = \Delta G^*_{MX}$  when the slope of  $G^*$  is zero, i.e. when the pseudo-Morse curves have equal slopes of opposite sign. Since, for comparably large values of  $G_{MX}$  and  $G_{MY}$ , the pseudo-Morse curves for  $ML_5X$  and  $ML_5Y$  will not be very

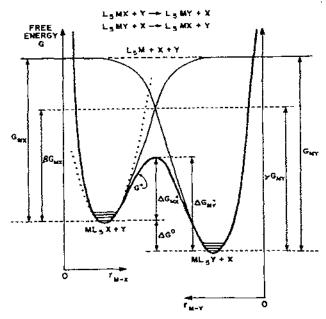


Fig. 2. Free-energy profile for an Ia mechanism.

different in size of shape, this condition will obtain at some point along the reaction coordinate very close to the intersection point of the Morse curves, although strictly speaking  $\Delta G_{MX}^*$  will be placed at the actual Morse intersection point only if X = Y, as in an aquo-exchange reaction. The  $G^*$  curve will also be rather flat in this region, as Fig. 2 illustrates.

As Fig. 2 is drawn, the transition state is reached at a point on the reaction coordinate where the M–X bond is 70% broken in terms of free energy (i.e.  $\beta=0.70$ ) while the M–Y bond is only 25% formed ( $\gamma=0.75$ ), yet  $\Delta G_{\rm MX}^*$  is lowered to only 40% of the value ( $G_{\rm MX}$ ) it would have in an  $I_{\rm d}$  process. In an extreme case,  $\Delta G^*$  for a symmetrical  $I_{\rm a}$  reaction would be zero if the bonds were just 50% broken/formed in the transition state. The point here is that the transition state in  $I_{\rm a}$  corresponds to M–X bond stretching far beyond the region where the approximate parabolic law (dotted curve in Fig. 2) applies. The exact functional forms of the pseudo Morse curves of Fig. 2 are not known, but in the region of intersection the dependence  $G_{\rm MX}$  on  $r_{\rm M-X}$  and  $G_{\rm MY}$  on  $r_{\rm M-Y}$  is obviously of lower order than parabolic. If a local linear dependence is assumed (and Fig. 2 has been drawn to show that this is reasonable), then  $E_{\rm a}$  becomes a linear, rather than a quadratic, function of  $\Delta E$  in the German–Dogonadze treatment<sup>105</sup>, and by the same token the Brønsted plot becomes linear.

Rewriting eqn. (6) for the transition state

$$\Delta G_{MX}^{\bullet} = \beta G_{MX} - (1 - \gamma) G_{MY} \tag{17}$$

and, noting that if the transition state occurs approximately where the pseudo-Morse curves intersect we can write

$$(1-\beta)G_{MX} \simeq (1-\gamma)G_{MY} \tag{18}$$

(see Fig. 2), we have

$$\Delta G_{MX}^* \simeq (2\beta - 1) \left[ \Delta G^0 + G_{MY}^{} \right] \tag{19}$$

or alternatively

$$\Delta G_{\text{MX}}^* \simeq \Delta G^0 + (2\gamma - 1)G_{\text{MY}} \tag{20}$$

since, with reference to the [ML<sub>5</sub>X + Y] baseline,

$$\Delta G^{0} = |G_{MX}| - |G_{MY}| \tag{21}$$

For a series of reactions with the same Y, M and L,  $G_{MY}$  is constant, and according to eqn. (20),  $\gamma$  must either be 1.00 or else vary with  $\Delta G^0$  (and hence with  $G_{MX}$ ); similarly, from eqn. (19), the existence of an LFER would require that  $\beta$  be the same for all X within the series. Thus, the existence of an LFER of slope less than 1.0 implies that the degree of M—Y bond formation called into play is controlled by the nature of the bond to be broken, while the extent of bond-breaking is constant within a series of fixed M, L and Y and is characteristic of the attacking nucleophile Y.

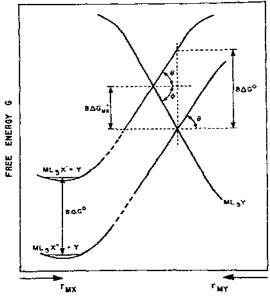


Fig. 3. Detail of an  $I_a$  free-energy profile for the formation of a common product  $ML_5Y$  from a series of reactants  $ML_5X'$ ,  $ML_5X''$ , etc.

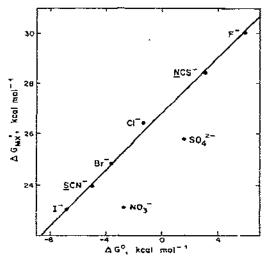


Fig. 4. Relationship between the free energy of activation  $\Delta G^*_{MX}$  and the free energy of reaction  $\Delta G^0$  for the acid-independent aquation of  $(H_2O)_5 CrX^{(3-n)+}$  (25°C, ionic strength 1.0 M, perchlorate media). Data from refs. 46, 51, 52 and 106.

Finally, the highly stylized Fig. 3 illustrates Bell's argument<sup>96</sup>, that the slope of Brønsted plot will be 0.5 if the slopes  $\theta$  of the parallel Morse curves of reactants  $ML_5X'$ ,  $ML_5X''$ , etc., are equal but opposite to the slope  $\phi$  of the Morse curve of the common reaction product  $ML_5Y$  at the point of intersection, assuming that the Morse curves can be approximated locally to straight lines. These conditions are likely to be approximately true for typical series of complexes, the complete Morse curves of which will be very similar in size and shape, and it follows from the trigonometry of Fig. 3 that

$$\alpha = (\delta \Delta G_{MX}^* / \delta \Delta G^0) = 0.5 \tag{22}$$

if  $\theta = \phi$  numerically and  $\delta$  represents small increments.

## (v) Free-energy relationships in Criti substitutions

In summary, then, an  $I_a$  mechanism can normally be expected to produce an LFER of slope near C.5. This behaviour is found<sup>52</sup> for the aquation of  $(H_2O)_5 CrX^{(3-n)+}$ , as in Fig. 4. The slope  $\alpha$  is 0.56 (at  $25^{\circ}C$ , I=1.0 M), so that the degree of Cr-X bond-breaking  $\beta$  is typically 78% for X=F, CI, Br, I, NCS and SCN. In simpler terms, this means that the rate of substitution of  $H_2O$  in  $Cr(OH_2)_6^{3+}$  by these X is markedly dependent on the nature of X; thus, the rate of attack of NCS is  $2 \times 10^3$  times faster than that of I ( $25^{\circ}C$ , I=1.0 M)<sup>94</sup>, in sharp contrast to the very narrow range of anation rates for  $Co(NH_3)_5OH_2^{3+}$  (Section D(ii)).

The failure of  $(H_2O)_5CrOSO_3^+$  to correlate with  $(H_2O)_5CrX^{2+}$  is scarcely surprising, by analogy with the charge-type dependence of the LFER for

aquations of  $(NH_3)_s CoX^{(3-n)+}$ , but the deviation of the supposedly monodentate nitrato complex suggests some mechanistic anomaly, especially since Co(NH<sub>3</sub>)<sub>5</sub>NO<sub>3</sub><sup>2+</sup> fits the Langford LFER<sup>66</sup>. This is apparently not due to O—N as opposed to Cr—O bond-breaking<sup>94</sup>, but is probably related to the strong cis-activating properties of NO<sub>3</sub> bound to Cr<sup>III</sup>, established<sup>43</sup> for  $Cr(NH_3)_5NO_3^{2+}$  and presumably operative in " $Cr(H_2O)_5NO_3^{2+}$ " since the apparent aquo-exchange rate of Cr(H2O)63+ is markedly higher in aqueous nitrate than in aqueous perchlorate media 107. The cis-activating property of NO<sub>3</sub> is probably due to associative ring-closure resulting in a transiently bidentate nitrato ligand<sup>43</sup> (an appealing hypothesis, since it will be associated specifically with I<sub>a</sub>-prone systems); it may well be that "(H<sub>2</sub>O)<sub>5</sub>CrONO<sub>2</sub><sup>2+</sup>" is in fact predominantly bidentate in solution, with an anomalously high stability constant (excessively positive  $\Delta G^{\circ}$  in Fig. 4) accordingly, but a careful search for a relatively rapid initial ring-opening step in its aquation was fruitless<sup>108</sup>. The formation and aquation of  $(H_2O)_5$  CrOSO<sub>2</sub>\* and  $(H_2O)_5$  CrOSO<sup>2\*</sup> evidently do proceed without Cr—O bond fission<sup>109,110</sup>, and so these are not expected to fit the LFER.

Thusius<sup>111</sup>, following Haim<sup>68</sup>, has pointed out that  $Q^*$  for the hypothetical equilibrium

$$[(H_2O)_5Cr(OH_2, Y)]^* = [(H_2O)_5Cr(X,OH_2)]^*$$
(23)

varies widely with X, as expected for an  $I_a$  mechanism and in sharp contrast with the narrow range of  $Q^*$  values found<sup>68</sup> for the  $I_d$  anations of  $Co(NH_3)_5OH_2^{3+}$ .

 $\Delta G_{\rm CiX}^*$  values for the aquation of  ${\rm Cr(NH_3)_5X^{2+}}$  at 25°C plot essentially linearly against those for  ${\rm Cr(OH_2)_5X^{2+}}$  (X = F, Cl, Br, I, NO<sub>3</sub>, NCS)<sup>43,45,112</sup> with a slope of 0.9, which suggests that the Brønsted plot for the  ${\rm Cr(NH_3)_5X^{2+}}$  series should also be essentially linear. However,  $\Delta G^0$  data are presently lacking for the ammine series except for X = Cl and NCS (ref. 44).

While the acid-independent aquation pathways of  $(H_2O)_5 CrX^{2^+}$  (rate coefficient  $k_0$  in eqn. (2)) yield an LFER of slope 0.56, the corresponding plot for the term with inverse  $[H^+]$  dependence  $(k_-)$  in eqn. (2)) is roughly linear with 0.9 <  $\alpha \le 1.0$ . The spread in rate coefficients for the reverse of this pathway is small (about 17-fold for the reactions studied so far, or less than 1% of the range in anation rates for  $Cr(OH_2)_6^{3^+}$ ) and is probably due solely to differences in the  $pK_a$  values of the various  $Cr(OH_2)_5X^{2^+}$ . Thus, the  $k_-$ 1 pathway is probably a  $D_{cb}$  process as opposed to  $I_a$  for  $k_0$ , and this difference in mechanism can explain the decline in importance of  $k_-$ 1 relative to  $k_0$  as X ascends the spectrochemical series, since D mechanisms may be expected to be more sensitive than  $I_a$  toward ligand-field effects.

The semi-quantitative correlation of the ligand-field splitting with  $k_0/k_{-1}$  predicts a value of  $\sim 100~M^{-1}$  for this ratio for the  $Cr(H_2O)_6^{3+}$  aquo-exchange<sup>94</sup> at 25°C, I=1.0~M; preliminary experiments<sup>115</sup> indicate  $k_0/k_{-1}=(8.3\times10^{-5})/(1.2\times10^{-6})=70~M^{-1}$  (at 50°C, I=0.7 assuming

that all six aquo ligands exchange. (A similar ratio evidently applies to the  $Fe(OH_2)_6^{3+}$  aquo exchange  $^{116}$ .) Taking  $pK_a$  to be 3.64 for  $Cr(OH_2)_6^{3+}$  at 50°C and I=0.5 (ref. 117), we can estimate  $k_{\rm ex}=5\times10^{-3}~{\rm sec}^{-1}$  for the exchange of all six waters in  $Cr(OH_2)_5OH^{2+}$ , or over 60 times  $k_{\rm ex}$  for  $Cr(OH_2)_6^{3+}$  under these conditions  $^{47}$ . By way of comparison, if  $pK_a=5.1$  and  $k_{-1}=2.8\times10^{-8}~M.{\rm sec}^{-1}$  for  $(H_2O)_5CrCl^{2+}$  at 25°C (refs. 42, 118),  $(H_2O)_4Cr(OH)Cl^{2+}$  aquates with  $k_0=3.6\times10^{-3}~{\rm sec}^{-1}$ , or  $1.3\times10^4$ -fold faster than  $(H_2O)_5CrCl^{2+}$ . Thus, the claim  $^{119}$  that the porphyrin system in  $Cr(TPPS)OH(OH_2)^0$  labilizes the Cr complex toward substitution  $10^3$ - to  $10^4$ -fold relative to "normal" Cr complexes is invalid, since the effect can be ascribed wholly to the presence of the  $OH^-$  ligand.

For the spontaneous aquation of  $(H_2O)_5 \operatorname{CrX}^{(3-n)+}$ , where  $X^{n-}$  has appreciable Brønsted basicity  $(pK_a \text{ of } HX \geq 2)$ , a pathway first-order in  $[H^+]$  is observed (coefficient  $h_1$  in eqn. (2)) in which the separating entity is evidently  $HX^{(n-1)-}$ . In such cases, the corresponding  $h_0$  path exhibits anomalously large  $\Delta H_0^*$  and  $\Delta S_0^*$  values (see Sections E and F) and this has been attributed to the separation of  $HX^{(n-1)-}$  from  $\operatorname{CrOH}^{2+}(aq)$ , rather than  $X^{n-}$  from  $\operatorname{Cr}^{3+}(aq)$ , in the transition state (see also Seewald and Sutin<sup>116</sup>).

This idea has recently received support from Asher and Deutsch's observation  $^{120}$  that  $\Delta G^*$  values for the  $k_1$  and  $k_0$  paths for  $X^* = CN^*$ ,  $CH_3COO^*$ ,  $N_3^*$  and  $F^*$  are related by one straight line of unit slope, and  $^*SC_6H_4NH_3^*$  and  $^*SCH_2CH_2NH_3^*$  by another. Fluoropentaaquochromium(III) nevertheless correlates well with  $(H_2O)_5CrX^{2+}$  derived from "non-basic"  $X^*$  in Fig. 4 because the major difference between the  $HX/CrOH^{2+}$  and the  $X^*/Cr^{3+}$  mechanisms is one of solvation in the transition state. If solvation changes are likened to freezing or thawing of solvent, for which  $\Delta G^0$  is small by chemical standards for most solvents over their normal liquid ranges, it can readily be seen that  $\Delta G^*$  should indeed be relatively insensitive to solvational differences, although these may produce large but compensatory anomalies in  $\Delta H^*$  and  $T\Delta S^*$  (Sections E and F)<sup>8,52,121</sup>.

If X in  $Cr(OH_2)_5 X^{2+}$  can be converted to a "good leaving group" which has a negligible residence time in the second c.s., one would expect an LFER of slope close to 1.0, since the mechanism will be essentially D. Birk and Ingerman<sup>122</sup> observed an LFER of  $\alpha = 0.90 \pm 0.02$  for the  $Hg^{II}$ -catalyzed aquation of the halopentaaquochromium (III) ions (leaving group  $HgX^*$ ), in accordance with this prediction, although the shortfall in  $\alpha$  from 1.00 could be attributed to a slight  $I_a$  bond-making tendency by the incoming water molecule in the transition state ( $\gamma$  and  $\beta$  both near 1.0). The  $Hg^{II}$ -catalyzed aquation of the thiocyanato-N analogue does not fit this correlation, presumably because ( $\alpha$ ) it involves a well-defined pre-equilibrium step, and ( $\alpha$ )  $\alpha$ 0 Hg<sup>2+</sup> can be expected to attach itself to the S atom in NCS, and not the N end (which is the reaction site).

### (vi) Reaction products of I, substitutions

If, in an  $I_a$  mechanism, a nucleophile Y can compete with the solvent in direct attack on  $ML_5X$  with the displacement of X, we can expect the fractional yield of  $ML_5Y$  to depend significantly upon the nature of X, whereas in a D process the  $ML_5$  intermediate has lost all memory of X when Y enters the first c.s. (the  $I_d$  case will tend to yield the solvento-complex to the exclusion of  $ML_5Y$ , as explained in Section D(iii)). Ardon<sup>123</sup> found that the disappearance of  $(H_2O)_5CrI^{2+}$  in 1.0 M HY (Y = Cl or Br) proceeded at about the same rate as in 1.0 M HClO<sub>4</sub>, but gave yields of  $(H_2O)_5CrY^{2+}$  (relative to  $(H_2O)_6Cr^{3+}$ ) far in excess of the equilibrium values. This was taken to indicate a D mechanism.

However, medium effects could easily swamp the small accelerations of the rate of disappearance of  $(H_2O)_5CrX^{2+}$  anticipated for concurrent  $I_a$  attacks by  $H_2O$  and Y (although a subsequent study of the aquations of several  $(H_2O)_5CrX^{2+}$  in 1.0 M HClO<sub>a</sub> and HCl showed that small accelerations due to Cl do occur). Furthermore, in the "induced" aquation of  $(H_2O)_5CrN_3^{2+}$  by NO<sup>+</sup> (which is the reaction most likely to generate  $(H_2O)_5Cr^{3+})^{124.125}$ , there is far less incorporation of Cl or Br than reported for the spontaneous aquation of  $(H_2O)_5CrI^{2+}$  under similar conditions, the intermediate (if it exists) being highly reactive and of low ability to discriminate between Cl and Br. Finally, when  $(H_2O)_5CrX^{2+}$  (X = Br, I, NO<sub>3</sub>, and SCN) are aquated in 1.0 M HCl, the relative yields of  $(H_2O)_5CrCl^{2+}$ , corrected for its own aquation and for the contribution of the conjugate-base pathways to the yield of  $(H_2O)_6Cr^{3+}$ , depended markedly on the nature of X (35, 19, 19 and 6% respectively).

These results indicate that Y incorporation following spontaneous aquation does not occur through scavenging by a common  $Cr(OH_2)_5^{3+}$  intermediate. Nor, however, does our earlier interpretation involving competitive  $I_a$  attacks by Y and  $H_2O$  now seem satisfactory, since, as remarked in Section C(iv), relatively "soft" species such as Cl and Br are unlikely to be able to compete effectively with "hard"  $H_2O$  (which is in large excess in any case) for the "hard"  $Cr^{III}$  centre. The incorporation of Y is probably the consequence of the activation of one or more of the five  $H_2O$  ligands in  $(H_2O)_5CrX^{2+}$  by X toward substitution, as suggested by Moore et al. 126; thus, one aquo ligand (presumed trans) in  $(H_2O)_5CrI^{2+}$  exchanges with solvent 3.3 times faster than  $\Gamma$  is lost  $I^{I}_{I}$ , so that a significant fraction of the iodo complex could conceivably aquate to  $I^{I}_{I}$  and  $I^{I}_{I}$  with the formation of the presumably relatively labile intermediate  $I^{I}_{I}$  in the formation of the presumably relatively labile intermediate  $I^{I}_{I}$  is lost  $I^{I}_{I}$  and  $I^{I}_{I}$  is lost  $I^{I}_{I}$ .

Our original interpretation<sup>94</sup> of the Cl<sup>\*</sup> incorporation data favoured effective  $I_a$  attack by Cl rather than the mechanism given by Moore et al. because the order of the corrected relative yields of  $Cr(OH_2)_5Cl^{2+}$  (X = Br > I =  $NO_3 > \underline{S}CN$ ) seemed inconsistent with any general theory or observations concerning relative trans-activating powers, which are expected to be  $I > Br \simeq \underline{S}CN \gg NO_3$ . However, recent investigations by Baldwin and Keen<sup>127,128</sup> have shown that one  $H_2O$  in  $(H_2O)_5CrBr^{2+}$  exchanges 14 times

faster than Br is lost, so that  $(H_2O)_5CrBr^{2+}$  would be considerably more vulnerable to Cl incorporation by the mechanism of Moore et al. than would  $(H_2O)_5CrI^{2+}$ , despite the fact that the actual rate of exchange of the singular  $H_2O$  in the latter is 5 times faster than in the bromo complex (i.e., the transeffect of  $\Gamma$  is greater than that of Br, but the bromo complex survives long enough to incorporate more Cl). Furthermore, the nitrato ligand has been found to be a powerful cis-activator in  $Cr^{III}$  complexes<sup>43</sup>, and its expected low status as a trans-activator<sup>94</sup> is therefore irrelevant to the question of Cl incorporation. The trans-activating property of I in  $Cr^{III}$  systems has in the meantime received independent verification<sup>23,24,129,130</sup>.

The theory of the trans-effect<sup>131</sup> leads to the expectation that it will be especially important in complexes with class "b" characteristics<sup>34</sup>, e.g. in  $(H_2O)_5RhCl^{2+}$ , where the aquo group trans to Cl is strongly activated toward substitution by a D mechanism<sup>30</sup>. This mechanism may be expected to operate at trans-activated sites in octahedral complexes, in which the trans-influence is believed to be transmitted by a  $\sigma$ -type electronic interaction, and indeed this seems to be the case for trans-activated substitution even when the "normal" substitution mechanism<sup>29,30,127,128,132</sup> is I.

While ligands such as  $iodo^{24,126,129,130}$  and sulphito-S (ref. 132) seem to be exclusively trans-activators, this is not true for hydroxo in conjugate-base substitutions of  $Cr^{III}$  complexes<sup>109</sup>, and Nordmeyer<sup>78</sup> has shown that the base hydrolysis of  $Co(NH_3)_5X^{(3-n)+}$  proceeds via cis- $Co(NH_3)_4(NH_2)X^{(2-n)+}$ . However, in all these cases the reaction characteristics are consistent with D or  $D_{cb}$  mechanisms. On the other hand, the striking effectiveness of  $NO_3^-$ ,  $SO_4^{2-}$  and other oxyanions in labilizing ligands cis to them in  $Cr^{III}$  complexes is not easily attributable to electronic effects, since other class "a" ligands such as  $F^-$  or OH, which should have electronic properties similar to the oxyanions, are much poorer cis-activators<sup>43</sup>. The obvious common property of the oxyanions is their potential for chelation, and this, combined with the evident capacity of  $Cr^{III}$  for  $I_a$  substitution, provides a reasonable explanation for their cis-activating power<sup>43</sup>.

Ferraris and King<sup>23</sup> showed that the spontaneous solvolysis of (H<sub>2</sub>O)<sub>5</sub>CrI<sup>2+</sup> (extrapolated to zero time, i.e. to the exclusion of aquo/solvent exchange) and the "induced" solvolyses of azido- and halopentaaquochromium(III) ions in aqueous methanol all lead to about the same relative amounts of (H<sub>2</sub>O)<sub>5</sub>Cr<sup>3+</sup> and (H<sub>2</sub>O)<sub>5</sub>Cr(MeOH)<sup>3+</sup>. These results suggest (but, as Ferraris and King<sup>23</sup> point out, do not prove) that these three reactions proceed by a common mechanism, presumably of the D type. However, this observation does not necessarily invalidate our general thesis that I<sub>a</sub> mechanisms prevail in substitutions at Cr<sup>III</sup> centres in the absence of special factors such as transactivation or deprotonation, because the "hard" Cr<sup>III</sup> centre will not discriminate electronically to a significant extent between the "hard" nucleophiles H—OH and Me—OH, both of which would present O atoms in similar environments to the Cr<sup>III</sup> centre in I<sub>a</sub> attacks<sup>45</sup>. Discrimination should be especially small for "good leaving groups" such as I, for which γ (eqn. (21) and Fig. 2) would be near 1.0.

Baltisberger and Hanson<sup>133</sup>, on the other hand, conclude from their study of the catalysis by methanol of the anation of aqueous  $(H_2O)_6 Cr^{3+}$  by NCS that a D mechanism is not operative in this reaction. This is in accordance with Baltisberger and King's<sup>17</sup> earlier tentative conclusion, drawn from studies of the  $Cr^{III}$ — $Cl^-$  system in aqueous methanol, that exchange of  $H_2O$  between  $(H_2O)_6Cr^{3+}$  and bulk solvent does not involve a D process. In summary, then, we can say that the products and kinetics of  $Cr^{III}$  substitution reactions can be rationalized on the basis of a general  $I_a$  mechanism, except where a powerful trans-activator is present or a conjugate base pathway is involved, in which cases a D or  $D_{ch}$  process may take effect.

#### (vii) Stereochemical consequences of mechanistic type

The stereochemistry of octahedral substitution has been reviewed at length elsewhere  $^{1,2,134}$ . With regard to the systems considered above, it can be said generally that  $I_a$  processes are stereoretentive; those reactions which involve steric change are  $I_d$  or D (and especially  $D_{cb}$ , wherein  $\pi$ -stabilization is thought to favour rearrangement of square-pyramidal ML<sub>s</sub> moieties to trigonal-bipyramidal).

A stereoretentive  $I_a$  process must proceed 135 by a "flanking" or "cis" attack by Y, relative to X, on M in ML<sub>5</sub>X. If steric change is to occur at all in an  $I_a$  process, it must do so by "remote" or "trans" attack of Y relative to X, and a ligand L must be displaced without M—L bond fission to take the place of the departing Y. This is unlikely to occur, since if L can be displaced in this way it is well on the way to being expelled itself. Furthermore, facilitation by X of trans attack evidently leads to dissociative loss of trans-L, and not to associative attachment of Y without loss of L.

Vanderheiden and King<sup>74</sup> report a case in which it appears that an acid-in-dependent solvolysis pathway for a  $Cr^{111}$  complex might proceed with some steric change. Loss of iodide from trans- $(H_2O)_4Cr(DMSO)I^{2+}$  in aqueous DMSO (0.705 mole fraction DMSO) leads to both cis- and trans- $(H_2O)_4Cr(DMSO)_2^{3+}$ , as well as  $(H_2O)_5Cr(DMSO)_3^{3+}$ ; the observed cis/trans ratios for 25°C and  $I=0.53\,M$  were 0.27 and 0.82 for  $[H^+]=0.52$  and 0.04 M, and increased (at the lower acidity) with decreasing  $[H^+]$ . These results, taken on their own, indicate that the conjugate-base solvolysis pathway involving  $(H_2O)_3(HO)Cr(DMSO)I^+$  is a more important source of the cis-bis(DMSO) product than the acid-independent path, but the possibility remains that the latter route leads to some cis product.

If the [H<sup>+</sup>]-independent path were typically  $I_a$  and gave no *cis* product, and if the conjugate-base path gave no *trans*; the rate data of Table IV or ref. 24 predict that the *cis/trans* ratio would be 0.15 and 2.0 at [H<sup>+</sup>] = 0.52 and 0.04 M respectively. These figures must be corrected for the products of the reaction of  $(H_2O)_5$  Cr(DMSO)<sup>3+</sup> with DMSO, which unfortunately has not been examined under the appropriate experimental conditions but which should statistically give a *cis/trans* ratio of 4, i.e. its effect should be to in-

crease the cis/trans ratio for the solvolysis reaction. This is likely to be more important at high [H<sup>+</sup>] than at low, relative to iodide loss<sup>94</sup>. Thus, the experimental data are not necessarily inconsistent with a purely stereoretentive [H<sup>+</sup>]-independent pathway in the solvolysis of trans- $(H_2O)_4Cr(DMSO)I^{2+}$  (as expected for  $I_a$ ), but require that the pathway involving  $(H_2O)_3(HO)Cr(DMSO)I^{2+}$  give both cis- and trans- $(H_2O)_4Cr(DMSO)_2^{3+}$ , as seems likely for a  $D_{cb}$  process (some 30—40% trans in this pathway would be reasonable).

The absence of detectable steric change in the spontaneous or induced aquations and base hydrolyses of cis- and trans- $Cr(NH_3)_4(OH_2)X^{2+}$  (X = Cl, Br, I)<sup>136-138</sup> serve to emphasize that, while it can be argued that stereochemical rearrangements in octahedral substitution are invariably associated with D or  $I_d$  modes of reaction, the converse is far from true. The experimental evidence presently available indicates that stereochemical change is common for  $Co^{III}$  reactions, in which  $D_{cb}$  processes are especially effective and simple substitution is  $I_d$  or D, but rare for  $Cr^{III}$  and  $Rh^{III}$ , for which  $I_a$  is evidently prevalent and  $D_{cb}$  processes are not so strikingly efficient.

The effect of sufficiently bulky ligands L should be to accelerate  $I_d$  processes and retard  $I_a$  markedly. Foxman<sup>139</sup> has shown that even the replacement of  $L = NH_3$  by  $L = CH_3NH_2$  in  $L_5CoCl^{2*}$  is enough to cause marked distortions of the ground state of the complex by steric compression, and the impressive accelerations of chloride aquation (× 22) and base hydrolysis (×  $1.5 \times 10^4$ ) at  $25^\circ C$  associated with this change of L clearly reflect the prevalence of  $I_d$  and  $D_{cb}$  mechanisms at  $Co^{III}$  centres<sup>140</sup>. The steric decompression effect is greater for base hydrolysis than for aquation because the former proceeds with steric change, whereas aquation is stereoretentive<sup>93,141</sup>; that is, the rearrangement of the five-coordinate conjugate-base intermediate from tetragonal-pyramidal to trigonal-bipyramidal to permit  $\pi$ -stabilization by the amido ligand results in a large relaxation of steric compressions, whereas the relatively small movements of the four  $cis NH_3$  groups away from the trans in forming the  $C_{4y}$   $I_d$  intermediate will be less effective in relieving congestion.

For the analogous  $(RNH_2)_5CrCl^{2+}$  aquations<sup>142</sup>, a 33-fold retardation occurs when R = H is replaced by  $R = CH_3$ , as expected for an  $I_a$  process. The compressional effect of adding a seventh ligand Y (as in  $I_a$ ) should be much more dramatic than the decompressional effect of taking the sixth ligand X away (as in  $I_d$ ); the effect is indeed somewhat greater for the  $Cr^{111}$  series than for the  $Co^{111}$ , and would presumably be much more so were it not for the fact<sup>143</sup>. <sup>144</sup> that the ionic radius of the central  $Cr^{3+}$  ion is about 17% larger than that of low-spin  $Co^{3+}$ . By the same token, if the base hydrolysis of  $(RNH_2)_5CrCl^{2+}$  is  $D_{cb}$ , as expected, then the increase in rate from R = H to  $R = CH_3$  should be large but less than for the  $Co^{111}$  analogues; indeed, the increase is 225-fold at 25°C (ref. 142).

Progress from  $R = CH_3$  to  $R = CH_3CH_2$  and other *n*-alkyl groups will not change the steric congestion at the reaction site significantly, but will lead (in aqueous solution) to progressive desolvation of the ground states and

transition states as the ligands become ever more "water-repellent". The exact implications of this are not obvious, but the direction of the effect on hydrolysis rates should be the same whether the mechanism be  $I_a$ ,  $I_d$  or  $D_{cb}$ . Indeed, a general, relatively slight increase in the rates of spontaneous aquation and base hydrolysis of  $(RNH_2)_5MCl^{2+}$  occurs as R changes from  $CH_3$  to  $CH_3CH_2$  and so forth, whether M = Co (ref. 140) or Cr (ref. 142). This effect resides in  $\Delta H^*$  and  $\Delta S^*$  rather than  $\Delta G^*$ , for M = Cr at least A = Cr and this is as expected for solvational effects A = Cr at least A = Cr and this is

## (viii) $\Delta G^*$ relationships for solvents other than water

The solvolysis of  $(NH_3)_5CoCl^{2*}$  in aqueous ethanol yields an LFER between  $\Delta G_{CoCl}^*$  and  $\Delta G^0$  as the solvent composition is varied 145. The slope is 0.35 at 40°C and 0.44 at 30°C. Water was in at least a threefold molar excess over ethanol in these experiments, and, since no  $(NH_3)_5Co(EtOH)^{3*}$  was detected, the reaction was essentially the same in all of them. The LFER probably represents changes in the ion-pair formation quotient and/or changes in the ethanol content of the second c.s. as the ethanol content of the bulk solvent is varied.

Values of  $\log k$  for the aquations of  $\operatorname{Co(NH_3)_5Cl^{2+}}$  and  $\operatorname{trans-Co(en)_2Cl_2^+}$  in a variety of aqueous—organic mixed solvents are linear functions of the Grunwald—Winstein Y parameters for these solvents, with slopes near 0.3 in all cases <sup>146</sup>; the analogous bromides give slopes <sup>147</sup> near 0.2. Thus, variation of solvent composition has a surprisingly small effect on these aquation rates, by comparison with those of  $S_N 1$  organic solvolyses, and preferential solvation of the complex cations by water is probably responsible for this. However, the extensive studies by King and co-workers <sup>17-24</sup>, <sup>148</sup> emphasize that substantial amounts of organic solvents (especially methanol) can be present in the first and second coordination spheres of the solvated  $\operatorname{Cr}^{3+}$  ion in aqueous—organic solvents.

Langford <sup>149</sup> has pointed out that the rates of solvolysis of trans-Co(en)<sub>2</sub>Cl<sub>2</sub><sup>+</sup> differ only by a factor of about three in methanol and N, N-dimethylformamide (DMF), whereas the activity of Cl would change by a factor of about  $10^6$  on being transferred from methanol to DMF. This appears to run counter to the conventional wisdom, that solvational changes are important in reactions of this type, but it should be borne in mind that the activities of  $\text{Co(en)}_2\text{Cl}_2^+$  and the transition state will also change drastically on transfer from methanol to DMF, so that the net effect on  $\Delta G^+$  will be relatively small. Furthermore, despite Langford's dissatisfaction with the facility of the argument, there is considerable evidence<sup>8,52,121</sup> (presented throughout the present review) that  $\Delta G^+$  is insensitive to solvational influences, which show up in  $\Delta H^+$  and  $\Delta S^+$ .

#### (ix) Other types of free-energy relationships

Edwards<sup>5</sup> has attempted to correlate relative free energies of activation within a series of nucleophilic reactions involving a single substrate with a

linear combination of the Brønsted basicities and the standard electrode potentials of the attacking reagents, and also with a linear combination of their relative polarizabilities (as measured by the molar refractivities) and proton basicities. These approaches seem to have been fairly successful with the square-planar  $Pt^{II}$  substrates, but, in the absence of sufficient data, it remains to be seen whether nucleophilicity in octahedral  $I_a$  mechanisms (as measured by the relative  $h_i$  values from eqn. (5)) can be treated in this manner. One cannot be optimistic, since steric factors are evidently important in octahedral substitution<sup>45,139,140</sup>, but presumably a different order of nucleophilic powers of reagents toward  $Cr^{III}$  as compared with  $Pt^{II}$  (reflecting class "a" as opposed to class "b" behaviour) could be accommodated in the coefficients of Edwards' linear combinations.

For class "a" centres such as  $Cr^{III}$  and  $Co(NH_3)_5^{3+}$ , it may be anticipated that the Brønsted basicity of attacking (in  $I_a$ ) or leaving (in  $I_a$  and  $I_d$ ) groups would predominate over the polarizability or redox parameters in Edwards' correlations. Indeed, several linear correlations exist between the logarithms of aquation rate coefficients and the  $pK_a$  of the conjugate acid of the departing ligand for octahedral complexes of  $Cr^{III}$  and  $Co^{III}$ ; the reader is referred to the recent review of this topic by Bakač et al. 150. However, Asher and Deutsch 120 have argued that the correlations published by Monacelli 151 for the aquations of  $Cr(NH_3)_5X^{2+}$  and  $Cr(OH_2)_5X^{2+}$  are linear at low  $pK_a$  only because of a serendipitous choice of  $pK_a$  values for the strong acids HX, and that the correlation breaks down in the latter case at  $pK_a \ge 2$  because X then separates as HX rather than X.

#### E. ENTHALPIES OF ACTIVATION, ΔH\*

## (i) Relationships between $\Delta H^*$ and $\Delta H^0$

Enthalpies of activation (or Arrhenius activation energies  $E_a = \Delta H^* + RT$ ) are commonly considered to be more directly related to molecular parameters such as bond strengths than are  $\Delta G^*$  values, and accordingly arguments concerning ligand-field effects on substitution kinetics and the application of functions of the Morse type to considerations of the attributes of various mechanistic models have generally been cast in terms of  $\Delta H^*$  or  $E_a$ , rather than  $\Delta G^*$ . However, it was noted in Section D that solvational changes can make substantial contributions  $^{4,8,52,121}$  to  $\Delta H^*$  and  $T\Delta S^*$ , whereas these contributions tend to cancel each other in  $\Delta G^*$ . Thus, it is legitimate to set up Figs. 1—3 with pseudo-Morse curves on a free-energy scale, and it follows that any correlations extracted from such a diagram (in the absence of a detailed knowledge of the functional form of these curves) will involve only  $\Delta G^*$  and  $\Delta G^0$ .

Nevertheless,  $\Delta H^*$  makes a far larger contribution to  $\Delta G^*$  than does  $T\Delta S^*$  in most slow octahedral substitutions, and it follows that the vertical axes of Figs. 1—3 could be relabelled in terms of enthalpy without much loss in real-

ism. Accordingly, wherever a free-energy relationship exists, we can anticipate that an enthalpy relationship will also exist

$$\delta \Delta H^* = \alpha \delta \Delta H^0 \tag{24}$$

where  $\alpha$  will be essentially the same for a given reaction as in the corresponding Brønsted plot, since it is determined by the geometrical properties of the pseudo-Morse curves of Figs. 1—3, which will be almost unchanged (or else changed in roughly the same way for ML<sub>5</sub>X and ML<sub>5</sub>Y) if the figures are redrawn in terms of enthalpies. Nor will the shapes and relative positioning of the Morse curves of ML<sub>5</sub>X and ML<sub>5</sub>Y in Figs. 1—3 be significantly affected by pressure or temperature, so that  $\alpha$  should be effectively independent of T and P; indeed, if an LFER is to hold good at more than one temperature, the same type of relationship must exist between  $\Delta H^*$  and  $\Delta H^0$  as exists between  $\Delta G^*$  and  $\Delta G^0$ . Thus, if we accept the existence of LFER's over at least some ranges of  $\Delta G^0$  for systems in which  $\Delta H^*$  and  $\Delta G^*$  are large relative to  $T\Delta S^*$ , it follows that linear enthalpy relationships must also exist and have slopes  $\alpha$  which are the same as for the corresponding LFER's. In the event that a curved, rather than a linear, free energy relationship is observed, a similar curve can be anticipated for the enthalpy relation.

The essential requirement for the derivation of eqn. (24) from eqn. (13) is simply that  $\alpha$  be sensibly constant over the ranges of T and P encountered in solution kinetics. Then, using the thermodynamic relationship

$$(\partial G/\partial T)_{\mathbf{P}} = -S \tag{25}$$

$$-\delta \Delta S^* = (\partial \delta \Delta G^*/\partial T)_P$$

$$= \{\partial(\alpha \delta \Delta G^0)/\partial T\}_P$$

$$= \alpha (\partial \delta \Delta G^0/\partial T)_P$$
(26)

whence

$$\delta \Delta S^* = \alpha \, \delta \Delta S^0 \tag{27}$$

for which eqn. (24) follows, since

$$\partial \Delta G^* = \partial \Delta H^* - T \partial \Delta S^* \tag{28}$$

Equation (24) represents a linear enthalpy relationship if  $\alpha$  does not change significantly from one member of the reaction series to another.

$$\Delta H^* = \alpha \ \Delta H^0 + \text{constant} \tag{29}$$

As with free-energy relationships,  $\alpha$  is expected to be 1.0 for  $I_d$  processes, but close to 0.5 (and not strictly constant, if a wide enough range of  $\Delta H^0$  can be covered) for  $I_a$  mechanisms. The aquation of  $\text{Co}(\text{NH}_3)_5\text{X}^{(3-n)+}$  should provide a test of the former statement, but unfortunately the spread of available  $\Delta H^0$  values 152 is limited and the uncertainties in  $\Delta H^\infty_{\text{Co}\,\text{X}}$  and  $\Delta H^0$ 

are large enough to obscure any conformity with eqn. (29). One might add Taube and Posey's data for  $X^{n-} = SO_4^{2-}$  ( $\Delta H_{CoX}^* = 18.7 \pm 0.5$ ,  $\Delta H^0 = -3.7$  kcal.mol<sup>-1</sup>), but work in our laboratories<sup>154</sup> and by Monacelli<sup>155</sup> has yielded a significantly higher  $\Delta H^*$  value in this case (22.3 kcal.mol<sup>-1</sup> at 25°C); this serves to illustrate the difficulty of assembling enough sufficiently reliable enthalpy data to test the existence of enthalpy correlations.

For an  $I_d$  process, the condition that  $\alpha$  is unity means that the quantity  $(\Delta H_{\text{CoX}}^* - \Delta H^0)$ , which Powell<sup>152</sup> refers to by the curious term "enthalpy of transition"  $(\Delta H_{\text{T}})$ , but which according to Hess's Law and the Principle of Microscopic Reversibility is simply  $\Delta H^*$  for the reverse reaction  $(\text{Co}(\text{NH}_3)_5\text{OH}_2^{3+} + \text{X}^{n-})$ , should be constant if  $\text{Co}(\text{NH}_3)_5\text{OH}_2^{3+} - \text{X}^{n-}$  ion-pairing contributions to  $\Delta H^0$  and  $\Delta H_{\text{T}}$  (~ 1 kcal.mol<sup>-1</sup>)<sup>10</sup> are properly allowed for. Powell<sup>152</sup> suggests that this is so for aquations of  $\text{Co}(\text{NH}_3)_5\text{X}^{(3-n)+}$ , with  $\Delta H_{\text{T}}$  close to  $\Delta H^*$  for aquo-exchange as expected, but here again the apparent variations in  $\Delta H_{\text{T}}$  from one X to another are almost as great as the variations in  $\Delta H_{\text{CoX}}^*$  itself.

For the base hydrolyses of  $(NH_3)_5CoX^{(3-n)+}$ , data for  $X^- = I^-$  can be included (since redox decomposition does not compete with base hydrolysis), and ion-pairing is less significant. Here, Powell's  $\Delta H_T$  is fairly constant  $(31.9 \pm 1.0 \text{ kcal.mol}^{-1})$  for  $X^{n-} = CI^-$ ,  $Br^-$ ,  $I^-$ ,  $I^-$ ,  $I^-$ ,  $I^-$ ,  $I^-$  and  $I^-$  for  $I^-$  (using  $I^-$  and  $I^-$  for the acid ionization of  $I^-$  co( $I^-$  so  $I^-$ ) for  $I^-$  and  $I^-$  for  $I^-$  so  $I^-$  which is in fair agreement with the others considering the different ionic strengths ( $I^-$  1.0 M for  $I^-$  so  $I^-$  considering the cumulative errors ( $I^-$  ± 1.5 kcal.mol<sup>-1</sup>). But here again, the scatter in  $I^-$  and the cumulative errors ( $I^-$  ± 1.5 kcal.mol<sup>-1</sup>). But here again, the scatter in  $I^-$  alues approaches that in  $I^-$  for base hydrolysis and no clear conformity with the requirements of a dissociative mechanism can be claimed.

However, Table 1 shows that the difference  $\Delta\Delta H^*$  between  $\Delta H^*$  for base hydrolysis and  $\Delta H^*$  for aquation of  $\text{Co}(\text{NH}_3)_5\text{X}^{2+}$  is essentially the same  $(4.6 \pm 0.4 \text{ kcal.mol}^{-1})$  for all the *strong-acid* anions  $\text{X}^{n-} = \text{Cl}^-$ ,  $\text{Br}^-$ ,  $\text{NCS}^-$ ,  $\text{NO}_3^-$  and  $\text{SO}_4^{2-}$ , as is the corresponding  $\Delta\Delta S^*$  (39.6 ± 2.8 cal.deg<sup>-1</sup>.mol<sup>-1</sup>). These data indicate that base hydrolysis rate coefficients are larger on the average by a factor of 2 × 10<sup>5</sup>  $M^{-1}$  than those for aquation at 25°C, and emphasize once again the close similarity between the rate-determining processes of these hydrolysis paths for  $\text{Co}^{\text{III}}$  ammines.

Marked deviations from the  $\Delta\Delta H^*$  and  $\Delta\Delta S^*$  norms are noted for the basic ligands X = F and especially  $N_3$  (the more basic of the two in the Brønsted sense), and it seems likely that the structure of the transition state approximates to

$$\begin{bmatrix} NH_3 \\ NH_2 \end{bmatrix}^{2+} \quad \text{or} \quad \begin{bmatrix} NH_2 \\ NH_3 \end{bmatrix}^{2+} \\ NH_2 \\ NH_3 \end{bmatrix}^{2+}$$

<sup>\*</sup> From  $\Delta H^* = 26.3$  for the base hydrolysis <sup>158</sup>,  $\Delta H^0 = -3.7$  for Co(NH<sub>3</sub>)<sub>5</sub>SO<sub>4</sub> aquation <sup>153</sup>, and  $\Delta H^0 = -4.5$  kcal.mol<sup>-1</sup> for the acid dissociation of Co(NH<sub>3</sub>)<sub>5</sub>OH<sub>2</sub> <sup>37</sup> (ref. 157); in Table II of ref. 158, for 0.81  $\times$  10<sup>-2</sup> read 0.81  $\times$  10<sup>-3</sup>.

TABLE 1

Activation parameters for aquation and base hydrolysis of  $Co(NH_3)_S X^{(3-n)+} (I=0.1 M)$  except as stated)

	ΔH*(OH) (kcal.mol-1)	\(\frac{1}{2}\)	****	4		1	
į.		(1120)	HOA	ΔS*(OH') (cal.deg <sup>-7</sup> .mol <sup>-1</sup> )	ΔS*(H <sub>2</sub> O)	\$\tau\$	Ref.
	25.6	24.4	1.2	+16.6	6'8 –	25.5	159, 160
	28.1	23.3	4.8	+32.4	- 6.8	39.2	159, 160
	27.6	23.2	4.4	+35.4	3.8	39.2	159, 160
	29.9	(19)		+46.4	(-16)		160, 161
	35.2	30.1	5.1	+42.0	- 0.8	42,8	114
	37.4 a	30.00	7.4	+44,0 a	+ 5.8 a	38.2	162
	28.8	24.3	4.5	+43,1	+ 1.9	41.2	72
	32.0 0	33.2 a	-1.2	+30.3 a	+13.1 0	17.2	16
	26.3 ն	22.3	4.0	+24.6 b	-10.9	36.5	154, 155, 158

<sup>a</sup> Zero ionic strength. b I = 1.0 M.

TABLE 2
Thermodynamic and activation parameters for the acid-independent aquation of  $Cr(OH_2)_5 X^{(3-n)+}$  in 1.0 M perchlorate solution

X**-	$\Delta H_0^*$ (kcal.mol <sup>-1</sup> )	ΔH <sup>0</sup> (kcal.mol <sup>-1</sup> )	$\Delta S_0^*$ (cal.deg <sup>-1</sup> .mol <sup>-1</sup> )	$\Delta S^0$ (cal.deg <sup>-1</sup> .mol <sup>-1</sup> )	Ref.
H <sub>2</sub> O	26.2	0.0	0.3	0.0	47
F-	28.7	-4.2	<b>— 3.9</b>	-29.3	42, 164, 165
Cl <sup>-</sup>	24.3	6.0	7.1	16	52, 108
Cl_ Br	23.8	5.1	3.5	5.0	52, 108
ĭ	23.0	-7.6 °	- 0.2	2.6	52, 108
NCS	27.5	+ 2.1	<b>— 3.5</b>	<b>- 6.9</b>	52, 108
NO <sub>3</sub>	21.6	4.5	5.1	<b> 5.9</b>	108, 166
SO42-	21,9	<b>-7.2</b>	-13.0	-29.3	46, 106
HF	28.7	+ 8.0 <sup>b</sup>	- 3.9	+ <sup>-</sup> 5.9 <sup>5</sup>	42, 167

<sup>&</sup>lt;sup> $\alpha$ </sup> Measured at I = 4.2 M, assumed same at I = 1.0 M.

in these two aquations but to simple X separation from  $(NH_3)_4$  CoNH<sub>2</sub><sup>2\*</sup> in the base hydrolyses<sup>53,159</sup>. The nitro complex might be expected to behave as do F and N<sub>3</sub>, but seems to be anomalous. The aquation of Co(NH<sub>3</sub>)<sub>5</sub>I<sup>2+</sup> is known to be complicated by redox processes<sup>161</sup>, and Table 1 suggests that values of  $\Delta H^* \simeq 25.3$  kcai.mol<sup>-1</sup> and  $\Delta S^* \simeq +7$  cal.deg<sup>-1</sup>.mol<sup>-1</sup> would be obtained if pure aquation could be observed.

For the aquation of  $Cr(OH_2)_5X^{(3-n)+}$ , Powell's<sup>163</sup>  $\Delta H_T$  varies widely from one  $X^{n-}$  to another—that is,  $\Delta H^*$  for the anation process depends markedly upon the nature of  $X^{n-}$ , as expected for an  $I_a$  mechanism. A plot of  $\Delta H^*$  against  $\Delta H^0$  for the aquation reaction (Table 2) reveals a linear correlation of slope  $\alpha=0.4_5$  and intercept 26.5 kcal.mol<sup>-1</sup> for  $X^{n-}=NCS^-$ ,  $H_2O$ ,  $CI^-$ ,  $BI^-$  and  $I^-$ ; as in Fig. 4, the correlation is poor for  $X^{n-}=NO_3^-$  and not good for  $SO_4^{2-}$ . Furthermore,  $\Delta H^*_{CrF}$  is over 4 kcal.mol<sup>-1</sup> higher than the enthalpy correlation would predict; if  $\Delta H^0$  for the formation of  $Cr(OH_2)_5OH^{2+}$  and HF is used in place of  $\Delta H^0$  for  $Cr(OH_2)_5^{3+}$  and  $F^-$ ,  $\Delta H^*_{CrF}$  correlates better but is about 1.5 kcal.mol<sup>-1</sup> lower than expected.

It seems likely that the transition state for aquations involving basic  $X^{n-1}$  resembles  $\{HX^{(n-1)-} + \text{the conjugate base of } ML_s\}$  much more closely than  $\{X^- + ML_s\}$ , but that the proton in question forms a hydrogen bond between  $X^{n-1}$  and the conjugate base, rather than an independent  $HX^{(n-1)-}$  moiety<sup>67</sup>. This feature will influence  $\Delta H^*_{MX}$  and  $T\Delta S^*_{MX}$  primarily through anomalous solvation, and consequently these effects cancel<sup>8,52,53,121,159</sup> in  $\Delta G^*_{MX}$ , so that  $Cr(H_2O)_sF^{2+}$  correlates satisfactorily in Fig. 4, as do  $Co(NH_3)_sF^{2+}$  and  $Co(NH_3)_sN_3^{2+}$  in the LFER for the aquations<sup>67</sup> of  $Co(NH_3)_sX^{(3-n)+}$ .

<sup>&</sup>lt;sup>b</sup> Calculated for  $Cr(H_2O)_5F^{2+} \neq Cr(H_2O)_5OH^{2+} + HF$ .

## (ii) Ligand field contributions to ΔH\*

The predominant factor determining the relative lability in substitution of octahedral complex ions of the same electronic configuration is the oxidation state of the central metal atom. Thus,  $\Delta H^*$  for substitution in  $\text{Mn}(\text{OH}_2)_6^{2^*}$  is generally about 7–8 kcal.mol<sup>-1</sup>, as against about 15 for  $\text{Fe}(\text{OH}_2)_6^{3^*}$  (ref. 2). However, for a given oxidation state, there is often a striking inertness toward substitution for the configurations  $d^3$ ,  $d^8$ , and spin-paired  $d^5$  and  $d^6$ . This has been attributed to the large loss of crystal-field (or ligand-field) stabilization energy which is expected to accompany the loss of a ligand, as in the D or  $I_d$  mechanisms, or on gaining a seventh ligand<sup>2</sup>, as in  $I_a$  or A.

This ligand-field contribution to the activation energy (LFAE) should manifest itself in  $\Delta H^*$  if no solvational contributions are involved, as in reaction (30); otherwise, it may be looked for in  $\Delta G^*$ , in which solvational contributions to  $\Delta H^*$  and  $T\Delta S^*$  tend to cancel (Section E(i)).

$$M(OH_2)_6^{n+} + H_2^*O \Rightarrow M(OH_2)_5^*OH_2^{n+} + H_2O$$
 (30)

Breitschwerdt<sup>168,169</sup> has shown that the experimental  $\Delta H^*$  or  $E_a$  values for reaction (30) with M = Cr, Mn, Fe, Co, Ni and Cu (n = 2) are reproduced surprisingly well by crystal-field calculations assuming a dissociative mechanism proceeding via a square-pyramidal ( $C_{4u}$ ) transition state; for a dissociative mechanism with a trigonal-bipyramidal ( $D_{3h}$ ) or an associative one with a pentagonal-pyramidal ( $D_{5h}$ ) transition state, the calculated  $E_a$  values are two to eight times too large. This is comfortably in accordance with the  $I_d$ -type mechanism assigned to substitutions in M(OH<sub>2</sub>)<sub>6</sub><sup>2+</sup> by Eigen and Wilkins<sup>170</sup>, and suggests that this type of calculation may have real significance for simple  $I_d$  processes.

Other improved versions of the original Basolo—Pearson LFAE theory<sup>2</sup> have been developed by Companion<sup>171</sup>, who used many-electron rather than single-electron methods and improved crystal-field parameters; Yamatera<sup>172</sup>, who utilized molecular-orbital methods involving second-order perturbation theory with allowance for  $\pi$ -bonding and -antibonding interactions; and Spees et al.<sup>173</sup>, whose detailed treatment includes the assumption that the d-electrons of the central metal atom occupy the lowest available d-like antibonding molecular orbitals of the complex in the transition state even at the expense of spin-pairing (e.g. Cr<sup>111</sup> is taken to be a doublet rather than a quartet  $d^3$  system in the transition state).

However, when the theory of Spees et al. 173 is applied to the reaction

$$M(NH_3)_5^{18}OH_2^{3+} + H_2O \rightarrow M(NH_3)_5OH_2^{3+} + H_2^{18}O$$
 (31)

LFAE values of 40, 87, 88 and 57 kcal.mol<sup>-1</sup> are calculated for  $I_d$  ( $C_{4v}$  transition state),  $I_d$  ( $D_{3h}$ ),  $I_a$  ( $C_{2v}$ ) and  $I_a$  ( $D_{5h}$ ) mechanisms when M = Rh; when M = Ir, the corresponding values<sup>174</sup> are 49, 105, 109 and 67 kcal.mol<sup>-1</sup>.

These are all far in excess of the observed  $\Delta H^*$  values of 24.6 and 28.1 kcal.mol<sup>-1</sup> for M = Rh and Ir respectively, and furthermore suggest that an  $I_d$  ( $C_{4v}$ ) mechanism should prevail in substitution reactions of these aquopentaammine complexes, whereas there is strong experimental evidence<sup>174</sup> in favour of  $I_a$  ( $C_{2v}$ ).

Furthermore, Spees et al. calculate LFAE values of 30.1 and 35.2 kcal.mol<sup>-1</sup> for  $I_a$   $(D_{5h})$  and  $I_a$   $(C_{2v})$  mechanisms in the aquo-exchange reaction of  $Cr(OH_2)_6^{3+}$ , on the assumption of a spin-paired (doublet) transition state, as against 47.3 and 55.7 for doublet  $I_d$   $(C_{4v})$  and  $I_d$   $(D_{3h})$ , so that an  $I_a$  mechanism would appear to be favoured on this basis. This inference is in accordance with the experimental evidence documented throughout this review. However, if the corresponding calculations are made with the alternative assumption of spin-free (quartet) transition states, we find LFAE values of only 10.0, 28.6, 21.0 and 22.0 kcal.mol<sup>-1</sup> for  $I_d$   $(C_{4v})$ ,  $I_d$   $(D_{3h})$ ,  $I_a$   $(D_{5h})$  and  $I_a$   $(C_{2v})$  transition states respectively, which suggests that the  $I_d$   $(C_{4v})$  mechanism should be favoured, contrary to the experimental evidence.

In summary, then, it seems that the role of ligand field effects in determining  $\Delta H^*$  and mechanism is rather smaller than is commonly supposed where  $I_a$  processes appear to be involved. Indeed, one would not expect LFAE contributions per se to be more important than the isotropic force attracting the ligands to the positive metal centre in determining  $\Delta H^*$ , yet this force has generally been ignored in attempts to calculate  $\Delta H^*$ , as have solvent effects in cases where these are clearly significant. Thus, Strehlow<sup>175</sup> has pointed out that  $\Delta H^*$  for water replacement in  $\mathrm{Al}(\mathrm{OH}_2)_6^{-3^+}$  (in which ligand field effects are absent) would be over 100 kcal.mol<sup>-1</sup> for an  $I_a$  process, although  $\Delta H^*$  for anation by sulphate is only 22 kcal.mol<sup>-1</sup> — a fact which he attributes to the operation of an  $I_a$  process. Nevertheless, it must be acknowledged that some significant correlations do exist in dissociatively activated octahedral substitution between ligand field parameters (as measured by the wavenumbers of d—d electronic absorption maxima) and kinetic reactivity, though the latter is better gauged by  $\Delta G^*$  than  $\Delta H^*$  if solvational changes are likely to be significant<sup>4,176,177</sup>

## (iii) Solvent effects on ΔH\*

Bennetto and Caldin<sup>11,178</sup> have discovered some remarkable linear correlations existing between  $\Delta H^*$  for the replacement of solvent ligands in Ni<sup>II</sup> complexes in divers solvents by various standard nucleophiles and such measures of solvent structural properties as fluidity and heat of vaporization. Thus, the Eigen—Wilkins version of the I<sub>d</sub> process evidently needs to be modified to take into consideration the new interactions between the displaced solvento-ligand and the surrounding solvent molecules when metal—solvent bond fission occurs.

Bennetto and Caldin<sup>11,178</sup> consider that ligand substitution processes involve concerted interactions of ligand and solvent molecules extending from

zone (d) (defined in Section B) through (c), (b), into (a) and progressively out again to (d). We prefer to consider the complex with its first and second coordination spheres as being independent of bulk solvent on the timescale of the intimate substitution process; solvent-structure-related effects such as those revealed by Bennetto and Caldin<sup>11,178</sup> may then be understood if it is conceded that solvent molecule interactions within the second coordination sphere (zone (b)) of the complex are likely to be the same in general character as those in the bulk solvent — in fact, they may well be amplified by the presence of the polarizing central ion.

Bennetto and Caldin<sup>11,178</sup> also examine the question of the linear correlations of  $\Delta H^*$  with  $\Delta S^*$  ("isokinetic behaviour") which are frequently found for series of related reactions<sup>11,65,111,177,181</sup>. The existence of such correlations for series of reactions is a good indication that the essential reaction mechanism is the same throughout a given series; isokinetic behaviour indicates that  $\Delta H^*$  and  $T\Delta S^*$  are to a large extent mutually compensatory, and, as remarked above several times, this is a characteristic of solvation effects. This close compensation of  $\Delta \Delta H^*$  and  $T\Delta \Delta S^*$  can occur despite very complex changes in  $\Delta H^*$  as a result of (e.g.) progressive changes in the solvent composition for the reaction of Ni<sup>II</sup> with bipyridyl in aqueous methanol or methanolic acetonitrile<sup>178</sup>. At present, it is not clear whether much more information than the above can be extracted from isokinetic plots—for example, whether any special significance can be read into the slope of such a plot (the "isokinetic temperature").

#### f. entropies of activation, $\Delta s^*$

 $\Delta S^*$  is commonly regarded as a measure of the "increase in randomness" occurring on going from the initial state to the transition state, and it might therefore be expected to yield some useful mechanistic information. However, McGlashan's eloquent warning<sup>182</sup> concerning the naive interpretation of truly thermodynamic entropies of reaction ( $\Delta S^0$ ) in terms of changes in randomness applies with even greater force in dealing with  $\Delta S^*$  data, which are merely quasithermodynamic quantities and which are usually calculated on the assumption that the transmission coefficient is unity. Furthermore, for any but unimplecular reactions, the absolute value of  $\Delta S^*$  as commonly calculated will depend on the choice of concentration scale, and this leads to interpretational complications if the attacking reagent is the solvent. For these reasons, little significance can be attached to an isolated observation that  $\Delta S^*$  happens to be zero or some other specific value for a reaction of a complex in solution.

However, for a series of related reactions in a given solvent, the *relative* values of  $\Delta S^*$  should show some correlation with mechanism. For the aquoexchange reactions of Table 3,  $\Delta S^*$  is close to zero or slightly positive for all the ions listed except  $\text{Co(NH}_3)_5\text{OH}_2^{3+}$ , which evidently reacts by an  $I_d$  mechanism<sup>183</sup>; this is entirely consistent with our contention that all the

TABLE 3
Activation parameters for some solvent exchange reactions

Reaction	$\Delta H^*$ (kcal.mol <sup>-1</sup> )	$\Delta S^*$ (cal.deg <sup>-1</sup> .mol <sup>-1</sup> )	ΔV* (cm <sup>3</sup> .mol <sup>-1</sup> )	Ref.	
Co(NH <sub>3</sub> ) <sub>5</sub> OH <sub>2</sub> <sup>3+</sup> /H <sub>2</sub> O	26.6	+ 6.7	+ 1.2	183	
Rh(NH <sub>3</sub> ) <sub>5</sub> OH <sub>2</sub> <sup>3+</sup> /H <sub>2</sub> O	24.6	+ 0.8	-4.1	36	
Ir(NH <sub>3</sub> )5OH <sub>2</sub> 3+/H <sub>2</sub> O	28.1	+ 2.7	- 3.2	174	
Cr(NH <sub>3</sub> ) <sub>5</sub> OH <sub>2</sub> 3*/H <sub>2</sub> O	23.3	0.0	<b>→ 5.8</b>	36	
Cr(H <sub>2</sub> O) <sub>6</sub> <sup>34</sup> /H <sub>2</sub> O	26.2	+ 0.3 4	- 9.3	47	
Cr(DMSO) <sub>6</sub> <sup>3+</sup> /DMSO	23.1	15.4 °	-11.3	28	

a Exchange of one ligand only.

others react by an  $I_a$  process, which perforce involves a higher degree of organization in the transition state than does  $I_d$ . For the  $Cr(DMSO)_6^{3+}/DMSO$  exchange reaction, an  $I_a$  mechanism is evidently again operative, but  $\Delta S^*$  is now strongly negative (so dispelling any lingering suspicions that any special significance may be attached to the near-zero value of  $\Delta S^*$  for the  $I_a$  aquo-exchange processes); this strongly negative value probably reflects steric problems peculiar to DMSO as a ligand<sup>2 8</sup>.

For complexes of the type  $Cr(OH_2)_5 X^{(3-n)+}$ , Baldwin, Bracken and Keen have shown that, for X = CI, Br and NCS, four of the aquo ligands exchange with solvent water with  $\Delta S^* = 0 \pm 3$  cal.deg<sup>-1</sup>.mol<sup>-1</sup> per ligand, which is the same as has been observed<sup>47</sup> for  $Cr(OH_2)_6^{3+}(\Delta H^*)$  is a little higher for the latter, and the rate correspondingly lower, as expected for a 3+ ion relative to a 2+). This suggests a common I, process for all these exchanges. However, for X' = CI', Br' and NCS', the fifth ligands (presumably trans to X) exchange with  $\Delta S^*$  in the range +14 to +22 cal.deg<sup>-1</sup>.mol<sup>-1</sup>, and the exchange rate is markedly greater than for the other aquo groups (presumed cis) despite somewhat higher  $\Delta H^*$  values. This striking trans-activation therefore probably operates through a dissociative mechanism. A similar conclusion was reached by Pavelich and Harris<sup>30</sup>, who have assigned a D mechanism to the powerful trans-labilizing effect of chloro and hydroxo ligands in pentaaquorhodium(III) complexes. Thus, our generalization, that cationic complexes of trivalent transition metals other than Co<sup>III</sup> undergo substitution by I, mechanisms, needs to be qualified by excluding complexes that exhibit strong trans-activation.

For aqueous  $Cr(OH_2)_5SO_4^*$ , Baldwin and Keen<sup>127,128</sup> found that a single, relatively rapid exchange rate applies for all five aquo groups, yet  $\Delta S^*$  is strongly negative ( $\sim -20 \text{ cal.deg}^{-1}.\text{mol}^{-1}$ ). This suggests that an aquo group cis to  $SO_4$  can be expelled in an associative ring-closure movement leading to a transiently bidentate sulphato complex. This would labilize all the aquo groups, since  $SO_4$  could move from one ligand site to another as it first chelates and then aquates back to monodentate, and the high degree of

organization demanded by such a process would lead to a relatively strongly negative  $\Delta S^*$  for the net aquo-exchange.

This last mechanism is precisely that which we have suggested, above and elsewhere  $^{43,185}$ , for substitution reactions at  $Cr^{III}$  when non-linear oxyanions are involved. It is important in that it places some severe limitations on the study of aqueous  $Cr^{III}$  chemistry, e.g. nitrate or sulphate should not be used to control the ionic strength or acidity in studies of the kinetics or steric course of substitutions at  $Cr^{III}$  centres  $^{185}$ . Its operation at  $Cr^{III}$  centres probably reflects not only the vulnerability of  $Cr^{III}$  to  $I_a$  attack, but also its preference for very "hard" ligand atoms (in this case, O). For the latter reason, cis-activation by  $NO_3^-$  or  $SO_4^{2-}$  probably will not be important  $^{155,186}$  at "soft"  $I_a$ -prone centres such as  $Rh^{III}$ .

#### (i) Relationships between $\Delta S^*$ and $\Delta S^0$

Equation (27) shows that the same relationship should exist between  $\Delta S^*$  and  $\Delta S^0$  as exists between  $\Delta G^*$  and  $\Delta G^0$ , if the Brønsted slope  $\alpha$  is independent of temperature and pressure. Accordingly, if an LFER exists for a series of reactions,  $\Delta S^*$  will also be a linear function of  $\Delta S^0$ , with the same slope as the LFER. This may be confirmed from the data of Table 2 for the aquations of  $Cr(OH_2)_s X^{2^*}$ , with some notable exceptions. The deviation of X = F from the linear entropy relationship can be ascribed to the separation of an entity resembling HF rather than F, as explained in Sections D(v) and E(i), the correlation of  $Cr(OH_2)_s F^{2^*}$  in the LFER of Fig. 4 being due to the fortuitous cancellation of solvational entropy and enthalpy contributions. Other exceptions are the cases  $X^{n-} = NO_3$  and  $SO_4^{2^-}$ , which also deviate from the LFER (Fig. 4) for reasons given in Section D(v).

In the absence of  $\Delta S^0$  data for aquation reactions, one might use in their place values of the standard entropies of the released aqueous ions  $X^{n-}$  after correction  $(S_{corr}^0)$  for rotational entropy lost by a polyatomic ion on coordination, since  $\overline{S}^0$  values for the original complexes (say,  $Cr(OH_2)_5X^{(3-n)+}$ ) will be closely similar for the various  $X^{n-}$  and the product complex is the same (Cr(OH<sub>2</sub>)<sub>6</sub>3\*) throughout a given series. The problem of estimating the rotational correction is virtually intractable, since some free rotation of polyatomic ligands is likely to persist in the complexed state, and interactions with the solvent are an unknown factor. However, a calculation of the rotational entropy for the gas phase seemed to suffice to estimate  $\overline{S}_{corr}^0$  for  $X^ NO_3^-$  and  $NCS^-$  in the pentaaquochromium(III) series, and a good correlation between  $\Delta S^+$  and  $\overline{S}^0_{corr}$  was obtained 108, the slope being 0.53 (cf. 0.56 for the corresponding LFER)<sup>52</sup>. The abnormally positive  $\Delta S^*$  values observed when X is the anion of a weak acid  $(N_3, F \text{ (ref. 108) or CN (ref. 187)})$ presumably reflect the separation of HX rather than X (Sections D(v) and E(i)). Unfortunately, the anomalies of  $X = NO_3$  in the LFER (Fig. 4) and the  $\Delta S^*/\Delta S^\circ$  correlation suggest that the fit of  $Cr(OH_2)_sNO_3^{2+}$  in the  $\Delta S^*/\overline{S}_{corr}^0$ relationship was to some extent fortuitous.

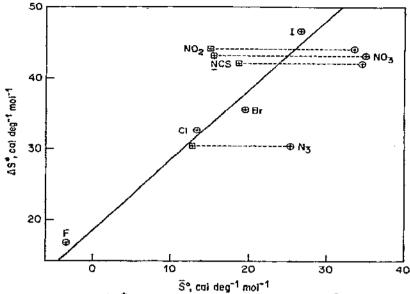


Fig. 5. Relationship between  $\Delta S^*$  for base hydrolysis of Co(NH<sub>3</sub>)<sub>5</sub>X<sup>2+</sup> and the standard molal entropy  $\overline{S}^0$  of aqueous X. Circles,  $\overline{S}^0$  uncorrected for rotation; squares, gas-phase rotational entropy correction applied to  $\overline{S}^0$ . Data from sources cited in refs. 2 (p. 164), 72 and 108.

Furthermore, an attempt 108 to apply this same approach to the aquations of Co(NH<sub>3</sub>)<sub>5</sub> X<sup>2+</sup> suggested that the appropriate rotational correction is around 50 to 90% of the calculated gas-phase rotational entropy for NCS, NO3 and NO<sub>2</sub>. Figure 5 shows an essentially linear correlation of unit slope between  $\Delta S^*$  and  $\overline{S}^{\circ}$  (corrected and uncorrected for rotation) for the base hydrolysis of Co(NH<sub>3</sub>)<sub>5</sub>X<sup>2+</sup>. The fluoro and azido complexes are seen to behave "normally" in this case, whereas their  $\Delta S^*$  values for the corresponding aquations are anomalously high; once again, this suggests that the leaving group in aquation resembles HF and HN<sub>3</sub> respectively<sup>159</sup>, whereas in base hydrolysis F and N<sub>3</sub> separate as such, since the cobalt ammine is already in the form of its conjugate base prior to Co-X bond breaking. This same rationale explains the fact that the difference  $\Delta \Delta S^*$  between  $\Delta S^*$  values for base hydrolysis and aquation of  $Co(NH_3)_S X^{(3-n)+}$  (Table 1) is almost constant for all the  $X^{n-}$ listed except F and N<sub>3</sub>; evidently, the activation process is similar in both aquation and base hydrolysis, with these exceptions, and the entropy contribution of conjugate base formation to  $\Delta S^*(OH)$  is virtually independent of the nature of  $X^{n-}$ .

# (ii) $\Delta S^*$ and stereochemical change in aquation

Tobe<sup>189</sup> has pointed out that  $\Delta S^*$  is usually markedly larger (typically by about 15 cal.deg<sup>-1</sup>.mol<sup>-1</sup> for *comparable* species) for aquations of complexes

of the type  $MLACl^{n+}$  (where  $L = (en)_2$ , trien, cyclam, etc., A is an "inert" ligand sich as  $NH_3$  or  $CN^-$  and  $M = Co^{IH}$  or  $Rh^{IH}$ ) when there is marked steric change than when the aquation is stereoretentive.

Studies by Kernohan and Endicott<sup>83</sup> on complexes of Co<sup>HI</sup> with macrocyclic ligands show that  $\Delta S^*$  can be markedly positive for chloride aquation even when this is stereoretentive, probably because of extensive steric relaxation in the transition state (see also Chau and Poon)190. Nevertheless, for CoIII complexes with non-macrocyclic "garland" ligands, Tobe's rule seems to hold good if comparisons are made between sufficiently similar complexes. Thus, for trans-dichloro(1,4,8,11-tetraazaundecane)cobalt(III) ions, aquation of the trans-(RR,SS) isomer proceeds with steric change and  $\Delta S^* = +12$  cal.deg<sup>-1</sup>. mol<sup>-1</sup>, whereas for the stereoretentive aquation<sup>191</sup> of the trans-(RS) isomer  $\Delta S^* = +1$ . This observation places Alexander and Hamilton's observation of  $\Delta S^* = +4$  cal.deg<sup>-1</sup>, mol<sup>-1</sup> for the stereoretentive aquation of trans-dichloro-(4,7-diaza-1,10-decane-diamine)cobalt (III) in proper perspective; as remarked at the beginning of Section F, the absolute magnitude of  $\Delta S^*$  is singularly difficult to interpret, so that Tobe's rule should be applied only when comparisons are made between reasonably similar complexes. Further demonstrations of the validity of Tobe's rule have been given for cobalt(III) ammines193 and ethylenedizmine complexes<sup>194</sup>.

Steric change at  $Co^{111}$  centres presumably occurs through rearrangement of the initially produced square-pyramidal five-coordinate intermediate to a trigonal-bipyramidal species. Theoretical calculations show that this process could not result in a change in the vibrational and rotational entropies of the simpler species such as  $Co(NH_3)_4Cl^{2+}$  sufficiently large to account for the large  $\Delta S^*$  relative to comparable stereoretentive reactions. Evidently the relatively positive  $\Delta S^*$  reflects extensive desolvation of the square-pyramidal intermediate as it rearranges to the trigonal-bipyramidal form. It is noteworthy that the  $\Delta S^*$  accompanying steric change in the aquation of trans- $Co(NH_3)_4Cl_2^*$  is much larger at low ionic strengths, and is matched by a larger  $\Delta H^*$ , as expected for solvational-effects<sup>193</sup>.

It may be that each of several solvating water molecules is doubly hydrogen-bonded through protons on two nitrogens cis to each other in the square-pyramidal intermediate, and that this bridging arrangement is disrupted when the ligands move apart towards the trigonal-bipyramidal configuration, with consequent loss of solvation<sup>195</sup>. Whatever the mechanism of desolvation, it would seem that some three to six water molecules would have to be shed if Powell's estimate<sup>196</sup> of the entropy change accompanying solvation ( $\sim -3$  cal.deg<sup>-1</sup> per mole of water) is realistic.

## G. HEAT CAPACITIES OF ACTIVATION, $\Delta C_p^*$

Correlations involving  $\Delta H^*$  (Section E) and  $\Delta S^*$  (Section F) for series of related complexes have been sought for with the implicit assumption that these parameters are temperature-independent, so that one might legitimately

compare data obtained over different temperature ranges. Yet if  $\Delta H^*$  and  $\Delta S^*$  bear any relation to the corresponding truly thermodynamic quantities, it must be acknowledged that they can both be temperature-dependent, i.e. that  $\Delta C_p^*$  (=  $(\partial \Delta H^*/\partial T)_p$ ) can be non-zero. Indeed, it is now well established <sup>197–199</sup> that  $\Delta C_p^*$  is significant for many organic solvolyses in aqueous media, typical mean values being -30 to -100 cal.deg<sup>-1</sup>.mol<sup>-1</sup> at 25°C, and that the effect probably originates in solute—solvent interactions involving the making or breaking of solvent structure.

Preliminary attempts to measure  $\Delta C_p^*$  for the aquation of  $\text{Co}(\text{NH}_3)_5 \text{X}^{2+}$  yielded values not far removed from zero ( $\sim 44 \text{ cal.deg}^{-1} \cdot \text{mol}^{-1}$  for X = Cl (ref. 200) and  $\sim 20 \pm 7$  for  $\text{X} = \text{NO}_3$  (ref. 72)), while values of zero<sup>201</sup>,  $\sim 29 \pm 3$  (ref. 202) and  $\sim 17 \pm 3$  (ref. 202) cal.deg<sup>-1</sup>.mol<sup>-1</sup> have been obtained for chloride aquation in the trans-dichloro-, cis-chloroazido-, and cis-chloronitrobis(ethylenediamine)cobalt(III) ions. Thus, it can be reasonably assumed that  $\Delta H^*$  (and also  $\Delta S^*$ , since entropy can be defined in terms of heat capacity) is practically constant for the purposes of Section E and F.

However, a detailed study<sup>203</sup> recently completed in our own laboratories shows that if rate coefficients are measured with adequate precision over a wide enough range of temperatures (50° or more), the fact emerges that  $\Delta C_p^p$ is itself unmistakably temperature-dependent. Thus, for the aquation of  $Co(NH_3)_5Br^{2+}$ ,  $\Delta C_p^*$  is strongly negative (~ - 100 cal.deg<sup>-1</sup>.mol<sup>-1</sup>) in the regions near  $0^{\circ}$  and  $70^{\circ}$ C, but reaches a maximum value of  $\sim -10$  cal.deg<sup>-1</sup>. mol<sup>-1</sup> near 35°C; the same is true for Co(NH<sub>3</sub>)<sub>5</sub>SO<sub>4</sub>\*, although the reliability of the data in this latter case is somewhat reduced by the correction made for the small contributions of an acid-dependent pathway. The actual numerical values of  $\Delta C_p^*$  obtained for various mean temperatures are not very significant, since their apparent value will depend upon the width of the temperature interval over which they are calculated (20° or more); any attempt to reduce these intervals below 20 - 25°C leads to numerical instability<sup>203,204</sup> of the equations used to calculate  $\Delta C_p^*$ . In any event, any attempt to quantify the seemingly parabolic temperature dependence of  $\Delta C_p^*$  would imply that significance can be attached to the third and fourth derivatives of the rate coefficients with respect to temperature, and this is unrealistic in the context of current experimental techniques.

Nevertheless, it is encouraging to note that  $\operatorname{Wold}^{204}$  has recently shown that  $\Delta C_p^*$  is also generally strongly temperature-dependent in the hydrolyses of organic compounds, but in these cases a minimum is observed, once again near  $35^{\circ}C$  (it is tempting to speculate that mammalian body temperatures may be connected in some way with solute/solvent interactions in the blood-stream!). This qualitative difference in behaviour might be explained on the basis that neutral organic solutes promote water structuring in the initial state, by a presumed "buttressing" effect (clathrate-type hydration), while highly charged complexes with peripheral acidic protons will presumably be solvated by water molecules with the negative ends of their dipoles oriented towards the metal. Formation of large, low-charged ions in  $S_N 1$  hydrolysis

of the organic compounds will probably result in net solvent structure-breaking, whereas in the case of the more highly charged complex ions an  $I_a$  process leads from a structure-forming substrate to a more powerfully structure-forming transition state (net structure-making).

The reason for the temperature dependence of  $\Delta C_p^*$  is not clear at present, but we may note that minima or maxima can occur (again, near 35°C) in the standard heat capacity change  $\Delta C_p^0$  as a function of temperature for various ionization reactions, and a partial explanation for this effect has been advanced<sup>205</sup>.

It would seem, then, that  $|\Delta C_P^*|$  is serendipitously small and nearly temperature-independent in the  $\Delta H^*$  or  $\Delta S^*$  correlations for octahedral substitutions considered here, since the mean temperature involved in the measurements of these parameters was usually close to  $35^{\circ}C$ . A much more serious potential cause of variation of  $\Delta H^*$  and  $\Delta S^*$  with temperature is the occasional occurrence of competitive or of sequential reaction paths of comparable rates. Thus, if there are two competitive (parallel) reaction paths having the same apparent rate law but different  $\Delta H^*$ , the path with the higher  $\Delta H^*$  will predominate at high temperatures, and that with low  $\Delta H^*$  under cooler conditions, so that the apparent  $\Delta H^*$  will increase with temperature. This situation evidently obtains in the acid-independent aquation of the acetatopenta-aquochromium(III) ion<sup>206</sup>, in which  $\Delta H^*$  varies from 13 kcal.mol<sup>-1</sup> at 25°C to 33 kcal.mol<sup>-1</sup> at 55°C. In all probability, the low-enthalpy path corresponds to C = O, and the high  $\Delta H^*$  to Cr = O, bond breaking.

In the case of two consecutive steps of comparable rates, that of higher  $\Delta H^*$  will be the "bottleneck" at low temperatures, and that with the lower  $\Delta H^*$  will become rate-determining at high temperatures, so that the apparent  $\Delta H^*$  will decrease with increasing temperature. We have suggested that this may be the case in the homolytic decomposition of pyridiomethylpentaaquo-chromium(III) ion, but an independent experimental reinvestigation of this system would be welcome (see Section C(vi)). Perlmutter-Hayman<sup>207</sup> has considered the related case of a fast pre-equilibrium prior to the rate-determining step, and has shown that a large apparent  $|\Delta C_p^*|$  can result in this situation.

# H. VOLUMES OF ACTIVATION, $\Delta V^*$

The volume of activation,  $\Delta V^*$ , measures the change in partial molal volume which occurs on going from the initial state to the transition state, and is derived from the rate coefficient k by the relation

$$(\partial \ln k/\partial P)_T = -\Delta V^*/RT \tag{32}$$

so that  $\Delta V^*$  reflects the pressure dependence of k much as  $\Delta H^*$  reflects its temperature dependence. However, the effect of the pressure P on k is relatively small compared with temperature effects, and  $\Delta V^*$  can itself be strongly pressure-dependent, so that numerous careful rate measurements must be

made if meaningful  $\Delta V^*$  data are to be obtained. High-pressure methods are unfamiliar to most inorganic solution chemists, but are not particularly difficult, and can yield some unusual information regarding reaction dynamics; interested readers may learn about the technique, scope and limitations of pressure studies from the excellent monographs now available  $^{208}-^{210}$ .

The value of high-pressure studies in elucidating inorganic reaction mechanisms is the subject of a recent review by Stranks<sup>9</sup>, and need not be considered in detail here. The chief advantage of  $\Delta V^*$  measurements in this context is that they are relatively easily understood in terms of atomic movements alone; the interpretation of  $\Delta H^*$  and  $\Delta S^*$ , on the other hand, involves less tangible factors such as molecular energy levels and the mode of occupation thereof. Volume changes on the macro scale are directly perceived by the human senses, whereas enthalpy and entropy are transcendent abstractions; thus, one may theorize about  $\Delta V^*$  with much more confidence than in the cases of the other activation parameters.

It was explained in Section F that the absolute magnitude of  $\Delta S^*$  has no immediately obvious significance per se. In constrast, the observation of a negative  $\Delta V^*$  value in a substitution reaction tells us that a general contraction must occur on going from the initial state to the transition state; moreover, in the absence of solvational changes or other recognizable complicating factors, the negative  $\Delta V^*$  value is diagnostic of an associative mechanism — it is very hard to see how a dissociative process, in which only bond-breaking is important, could lead to a negative  $\Delta V^*$  unless some drastic increase in solvation occurs. Fortunately, solvational changes in aqueous solution reveal themselves in a marked pressure dependence of  $\Delta V^*$ , as explained below, so that a negative, pressure-independent  $\Delta V^*$  value is an excellent criterion of an associative mechanism ( $I_a$  rather than A, since in the latter case the solvation sheath would have time to reequilibrate with bulk solvent during the lifetime of the intermediate of expanded coordination number, so that pressure dependence of  $\Delta V^*$  might be observed).

This is particularly well exemplified by  $\Delta V^*$  values for solvent exchange reactions such as eqns. (30) and (31); here, there is no net chemical change, the entering and leaving groups being the same and also being the main (or the sole) species present in the second coordination sphere. It is found that  $\ln k$  is a linear function of P within the experimental error in all such cases studied to date (Table 3), so that solvational changes are insignificant, and the interpretation of the  $\Delta V^*$  data is straightforward and in full accordance with our tenet that, of the cationic octahedral complexes of trivalent transition metals, only those of cobalt(III) undergo simple ligand substitution by a dissociative interchange mechanism<sup>174</sup>.

For  ${\rm Rh^{III}}$ ,  ${\rm Ir^{III}}$  and  ${\rm Cr^{III}}$ , the relatively large collapse in volume on going from the ground state to the transition state must originate in the removal of the incoming solvent molecule from the second coordination sphere and its implantation in the first with no more than a slight displacement of the ligand to be replaced — this is the essence of an  ${\rm I}_a$  mechanism, and this

mechanism is seen to prevail at  $Cr^{III}$  whether the "static" ligands be DMSO, NH<sub>3</sub> or H<sub>2</sub>O or whether the solvent be H<sub>2</sub>O or DMSO. Recognition of the fact that  $\Delta V^*$  for an associative mechanism must include a large negative contribution due to removal of the incoming group from the second coordination sphere dismisses any doubt that the numerically small but distinctly positive  $\Delta V^*$  for the Co(NH<sub>3</sub>)<sub>5</sub>OH<sub>2</sub><sup>3\*</sup> aquo exchange (Taole 3) results from an I<sub>d</sub> mechanism.

The magnitudes of  $-\Delta V^*$  for the  $I_a$  processes should therefore reflect the spatial extent of the second coordination sphere. Independent data such as are obtained from ion exchange chromatography<sup>36</sup> show that the hydrated radius of a complex ion of a given type will decrease as the "crystal" radius of the central metal ion increases; indeed, we see that  $-\Delta V^*$  for acuo exchange in the pentaammines decreases in the order Cr > Rh > Ir, whereas the crystal radii increase  $Cr^{3+} < Rh^{3+} < Ir^{3+}$  (ref. 174). Hexaaquo ions have more extensive solvation sheaths than pentaammines with the same central metal ion<sup>36</sup>, and so we see that  $-\Delta V^*$  is larger for  $Cr(OH_2)_6^{3+}$  than for  $Cr(NH_3)_5OH_2^{3+}$ .  $-\Delta V^*$  for solvent exchange in DMSO is larger than for the analogous process in water, since the DMSO molecule is much larger than  $H_2O$ , even though the latter forms voluminous solvation sheaths through hydrogen bonding<sup>28</sup>.

For reactions in which there is net chemical change but no great solvation changes, we again find that positive  $\Delta V^*$  values are associated with reactions expected on other grounds to be  $I_d$  or D (such as complexation of Ni<sup>II</sup> by PADA)<sup>211</sup>, while in Pt<sup>II</sup> systems, long recognized as reacting by A mechanisms, negative  $\Delta V^*$  values have been found<sup>212</sup>; similar correlations have been established for substitution reactions of transition metal carbonyls<sup>213</sup>.

There is one case on record in which  $\Delta V^*$  for aquo-exchange may involve solvation changes, viz. the isomerization of aqueous trans-Co(en)<sub>2</sub>(OH<sub>2</sub>)<sub>2</sub><sup>3+</sup>, which is evidently preceded by  $I_d$  loss of an aquo ligand<sup>214</sup> and for which  $\Delta V^*$  is strongly positive and pressure-dependent<sup>9,215</sup>. However, in the light of Tobe's association of desolvation with the rearrangement of a five-coordinate intermediate from tetragonal-pyramidal to trigonal-bipyramidal (see Section F(ii))<sup>189,195</sup>, it seems likely that  $\Delta V^*$  for the initial aquo-dissociation step may still be numerically small, positive and pressure-independent, just as for the Co(NH<sub>3</sub>)<sub>5</sub>OH<sub>2</sub><sup>3+</sup> aquo-exchange<sup>183</sup>, and that the larger, pressure-dependent contribution to  $\Delta V^*$  is associated with the actual rearrangement of the resulting five-coordinate species. This possibility is presently under investigation in our laboratories.

# (i) Relationships between $\Delta V^*$ and $\Delta V^0$

Following the approach taken in Section E(i) (eqns. (25) - (29)), and noting that

$$(\partial G/\partial P)_T = V \tag{33}$$

the existence of a free-energy relationship

$$\partial \Delta G^* = \alpha \ \partial \Delta G^0 \tag{34}$$

implies the existence of a volume relationship

$$\partial \Delta V^* = \alpha \ \partial \Delta V^0 \tag{35}$$

having the same Brønsted slope  $\alpha$  if this can be taken as being independent of temperature and pressure. Here,  $\Delta V^0$  is the net molar volume change for the completed reaction measured under the same conditions of ionic strength, temperature and pressure as  $\Delta V^*$ . In practice,  $\Delta V^*$  is markedly pressure-dependent (as  $\Delta V^0$  must also be) when solvational changes are involved, as explained below. Accordingly, it is convenient to correlate the extrapolated value  $\Delta V_0^*$  of the activation volume at zero or atmospheric pressure (these are indistinguishable, in high-pressure studies) with  $\Delta V^0$  measured by conventional means such as dilatometry at ambient pressure.

If  $\alpha$  is constant (or approximately so) for a given series of reactions, then eqns. (34) and (35) become an LFER and a linear volume relationship respectively, the slope  $\alpha$  being the same in each. This holds true for the aquation<sup>216</sup> of  $\text{Co}(\text{NH}_3)_5 X^{(3-n)+}$ , where the mechanism is  $I_a$  and so  $\alpha=1.0$  (see Table 4). Insufficient data are presently available to permit construction of a free-energy plot for  $\text{Cr}(\text{NH}_3)_5 X^{(3-n)+}$  aquations, but a linear volume relationship of  $\alpha=0.59$  can be drawn<sup>45</sup> for these reactions from the data of Table 4. This again is as would be anticipated for an  $I_a$  mechanism (see Section D), and the contrast with the  $I_d$ -activated cobalt (III) system may be illustrated by plotting corresponding  $\Delta V_0^{*}$  values for the two series against each other<sup>45</sup>.

The extent of these correlations is limited by extraneous factors, such as the problem of ammine ligand loss in the Cr<sup>III</sup> series (especially where cis-activation by coordinated oxyanions can occur<sup>43,185</sup>), the incursion of redox phenomena<sup>161</sup> as in the case of Co(NH<sub>3</sub>)<sub>5</sub>I<sup>2+</sup>, or difficulties in the direct determination of  $\Delta V^0$  when the reaction is very slow. The latter problem could be obviated for the Co<sup>III</sup> series by measuring the conventional partial molal volumes  $\overline{V}^0$  of Co(NH<sub>3</sub>)<sub>5</sub>X<sup>(3-n)+</sup>, Co(NH<sub>3</sub>)<sub>5</sub>OH<sub>2</sub><sup>3+</sup> and X<sup>n-</sup>; the last are mostly available already<sup>217,218</sup> and a parallelism may be noted between  $\overline{V}^0$  and  $\Delta V_0^*$  (Table 4), even though  $\overline{V}^0$  for the parent complex must also vary markedly with X<sup>n-</sup>. Thus it transpires that Co(NH<sub>3</sub>)<sub>5</sub>NCS<sup>2+</sup> would probably fit the linear volume correlation, but  $\Delta V_0^*$  for Co(NH<sub>3</sub>)<sub>5</sub>NOS<sup>2+</sup> is likely to be ~ 25 cm<sup>3</sup>. mol<sup>-1</sup> too positive — again, this suggests that HN<sub>3</sub> is the leaving group rather than N<sub>3</sub> (Section E(i)), so that desolvation, rather than electrostriction of solvent, occurs on going to the transition state, with a consequent increase in volume.

# (ii) Pressure dependence of $\Delta V^*$

Experience shows that  $\Delta V^*$  for reactions of complex ions is pressure-dependent when a change in solvation is expected to occur on going to the transi-

TABLE 4	
Values of $\Delta V_0^*$ , x and $\Delta V_0^0$	for aquations of $M(NH_3)_5 X^{(3-n)+a}$

M	<b>X</b> <sup>n-</sup> ·	$\Delta V_0^{ullet}$ (cm <sup>3</sup> .mol <sup>-1</sup> )	*	$\Delta V^0$ (cm <sup>3</sup> .mol <sup>-1</sup> )	V <sup>0</sup> b (cm <sup>3</sup> .mol <sup>-1</sup> )
Со	SO <sub>4</sub> <sup>2</sup> Cl Br	-18.5	8.0	~19.2	14.0
	Cì	-10.6	4.1	-11.6	17.8
	Br <sup></sup>	-9.2	3.9	<b>-</b> ÷10.8	24.7
	$NO_3$	6.3	1.9	<b>-7.2</b>	29.0
	NCS -	<b>-4.</b> 0			35.7
		+1.2	0.0	0.0	
	H <sub>2</sub> O N <sub>3</sub> Cl	+16.8	4.2.		25.0
Cr	Cĩ⁻	10.8	1.9	-8.4	17.8
	.Br	-10.2	2.0	-7.2	24.7
	Γ	-9.4	1.7	<b>−6</b> .0	36.2
	H <sub>2</sub> O	-5.8	0.0	0.0	

 $<sup>\</sup>alpha$  In general, I = 0.1 M, T = 25 °C, P = 1 bar. Data from refs. 45, 183 and 216. See text for explanation of symbols.

tion state, but that no significant variation of  $\Delta V^*$  with pressure seems to occur for simple solvent exchange reactions, in which no changes involving bulk solvent need occur prior to reaching the transition state<sup>9,28,36,45,47,174,183,216</sup>. One can regard  $\Delta V^*$  as being made up of two parts

$$\Delta V^* = \Delta V_b^* + \Delta V_s^* \tag{36}$$

where  $\Delta V_b^*$  represents the virtually pressure-independent contribution of bond-making and -breaking and  $\Delta V_s^*$  is the contribution of solvational changes.  $\Delta V_s^*$  is pressure-dependent because solvent in the second coordination sphere is much less compressible than is bulk solvent; thus, although transfer of solvent from the bulk solution to the second coordination sphere results in large decreases in volume ( $\sim -3~\rm cm^3$  per mole of water<sup>216</sup>) at low pressures, this change diminishes as the pressure is increased because the free solvent becomes almost as compacted as the electrostricted solvent. The result is that  $|\Delta V_s^*|$  decreases as P increases, whether  $\Delta V_s^*$  be positive or negative. Stranks<sup>9</sup> has examined this problem theoretically.

The choice of an appropriate analytical function to represent the pressure dependence of  $\Delta V^*$  has been considered at length by Golinkin et al.<sup>219</sup>, who have shown that, for organic solvolyses at least,  $\ln k$  is usually best represented simply by a quadratic in P.

$$\ln k = \ln k_0 + BP + CP^2 \tag{37}$$

Here, B is  $-\Delta V_0^*/RT$ , and  $(\partial \Delta V^*/\partial P)_T$  is taken to be constant and so equal to -2RTC. This approach also works well with complex ion reactions in aque-

<sup>&</sup>lt;sup>b</sup> Partial molal volumes of  $X^n$  relative to  $H^*$  at  $25^{\circ}$ C and I = 0 (from ref. 217).

ous solution<sup>9,45,216</sup>, but if we assume the electrostricted water to be completely incompressible we can gain some physical insight (with, if anything, an improved fit of the data) by adapting the Wohl—Tait equation<sup>120</sup> for the compression of water to calculate  $\Delta V_0^*$  and x, the number of water molecules apparently added to the second coordination sphere from bulk solvent as we go from the initial to the transition state<sup>45,216</sup>.

$$\ln h_P = \ln h_0 - P\Delta V_0^* / RT - (18.0 x\rho / 2.303RT) [(\pi + P) \ln (1 + P/\pi) - P] (38)$$

Here,  $\rho$  and  $\pi$  are parameters of water known from ordinary compressibility measurements ( $\rho = 0.321$ ,  $\pi = 3.06$  kbar at 25°C)<sup>167</sup>, and typical values of x obtained in least-squares computer fits of data to eqn. (38) are listed with the corresponding  $\Delta V_0^*$  values in Table 4. The  $\Delta V_0^*$  are close to those obtained with eqn. (37). The x values so obtained are actually lower limits to the increase in the number of water molecules in the second coordination sphere, and should be increased slightly (by some 10%, on the basis of Strank's theory<sup>9</sup>) to correct for the fact that electrostricted water is not entirely incompressible. This approach is somewhat analogous to that used by various authors to obtain solvation numbers of ions in solution from compressibility measurements<sup>221–223</sup>.

Two interesting observations emerge from the x values of Table 4. First, the aquation of  $Co(NH_3)_5N_3^{2+}$  is clearly seen to involve extensive desolvation on going to the transition state, just as has been inferred on independent grounds (Section E(i)). Secondly, for the same  $X^{n-}$ , the increase in solvation of  $Cr(NH_3)_5X^{(3-n)+}$  on going to the transition state during aquation is only about half that of  $Co(NH_3)_5X^{(3-n)+}$ , as expected on the basis of an  $I_a$  mechanism at  $Cr^{III}$  and an  $I_a$  at  $Co^{III}$ .

## I. CONCLUSIONS

For a series of substitution reactions of related octahedral complexes proceeding via a common  $I_d$  mechanism, linear correlations of slope  $\alpha=1.0$  should exist between  $\Delta G^*$  and  $\Delta G^0$ ,  $\Delta H^*$  and  $\Delta H^0$ ,  $\Delta S^*$  and  $\Delta S^0$ , and  $\Delta V^*$  and  $\Delta V^0$ . For solvent exchange reactions,  $\Delta V^*$  should be positive and independent of pressure. In anation reactions (governed by eqn. (5)),  $k_i$  will not exceed  $k_{\rm ex}$  and will be almost independent of the nature of the incoming group. D mechanisms may be distinguished from  $I_d$  on the basis of the products of competition reactions. These characteristics are exemplified by substitution reactions of  $Co^{III}$ .

For  $I_a$  mechanisms,  $\alpha$  should be less than 1.0 and should change monotically over an extensive series of related reactions, but, where  $\Delta G^* \gg \Delta G^\circ$ , seemingly linear relationships of slope  $\alpha$  near 0.5 will normally be observed between  $\Delta G^*$  and  $\Delta G^\circ$ ,  $\Delta H^*$  and  $\Delta H^\circ$ ,  $\Delta S^*$  and  $\Delta S^\circ$ , and  $\Delta V^*$  and  $\Delta V^\circ$ .  $\Delta V^*$  for solvent exchange will be negative and independent of pressure. For anation reactions,  $k_i$  may exceed  $k_{\rm ex}$  if the nucleophilicity of the incoming group toward the given central metal ion exceeds that of the solvent, but in

any event  $k_i$  will be strongly dependent upon the nature of the incoming ligand. All these characteristics have been observed in simple substitution reactions of  $Cr^{III}$ , and several in those of  $Rh^{III}$  and  $Ir^{III}$ .

Those reactions which exhibit the above  $I_a$  characteristics are also rigorously stereoretentive. In accordance with flanking rather than remote attack by the incoming group relative to the displaced ligand. Stereochemical change is associated with  $I_d$ , D and  $D_{cb}$  mechanisms only, though not with all such processes.

Deviations from the patterns of activation parameters so established are associated with anomalous mechanisms. Where these anomalies are essentially solvational, as when the separating ligand in aquation is the conjugate base of a weak Brønsted acid, no marked deviation may be observed in the  $\Delta G^*$  correlation, in which large anomalies in  $\Delta H^*$  and  $T\Delta S^*$  tend to cancel. Some Cr<sup>III</sup> and Rh<sup>III</sup> complexes can undergo substitution by a D mechanism as a result of trans-activation, while for Cr<sup>III</sup> complexes at least, base hydrolysis and "induced aquation" proceed by essentially dissociative processes, much as for Co<sup>III</sup>. Cis-activation by anions coordinated to Cr<sup>III</sup> can also lead to anomalous kinetic parameters, but this process is probably of an associative type involving transient chelation.

The temperature dependence of  $\Delta H^*$  and  $\Delta S^*$  for octahedral substitution in water can evidently be ignored in general, since the mean value of  $\Delta C_p^*$  is small in the temperature ranges normally involved. However,  $\Delta C_p^*$  itself shows a marked temperature dependence in aquations of  $Co^{III}$  ammines, rising from strongly negative values at the extremes of the normal liquid range of water to a maximum near 35°C. The pressure dependence of  $\Delta V^*$  is a measure of the number of solvating water molecules gained (or lost) by the complex from bulk solvent on going to the transition state.

While the Eigen-Wilkins interpretation<sup>170</sup> of the substitution kinetics of typical divalent first-row transition metal complexes in terms of an  $I_d$  mechanism is not contested, the combination of data on the kinetics, stereochemistry and products of simple substitution reactions (that is, excluding base hydrolysis and trans-activated reactions) of cationic octahedral complexes of trivalent transition metals are fully consistent with the operation of an  $I_a$  mechanism for all cases examined in detail to date, except for cobalt(III) complexes ( $I_d$ ). Data on vanadium(III)<sup>224</sup>, molybdenum(III)<sup>225</sup> and ruthenium(III)<sup>226</sup> substitutions support this generalization, in addition to the cases of chromium(III), rhodium(III) and iridium(III) which have been considered at length above. For iron(III), too, it is apparent that  $k_i$  (eqn. (5)) for anation of the hexaquo complex in water is dependent upon the nature of the incoming ligand<sup>227</sup>, as expected for an  $I_a$  process.

The question of the systematics of substitution in iron(III) complexes has been studiously avoided thus far in this article, despite an abundance of kinetic data<sup>227</sup>. This is because measurements of the high rates of substitution of high-spin iron(III) complexes are inevitably less accurate than the approaches advocated in this review require; not only must relatively imprecise flow

methods be resorted to, but the high acidity of the  $Fe(OH_2)_6^{3+}$  ion in water results in the incursion of conjugate base reaction pathways even at high  $[H^+]$ . The latter factor also introduces the very strong possibility that anation of  $Fe(OH_2)_6^{3+}$  by X actually occurs by attack of HX on  $Fe(OH_2)_5OH^{2+}$ , when HX has a  $pK_a$  greater than about zero<sup>116</sup>. Nevertheless, it is noteworthy that the rates of reaction of  $(H_2O)_5FeOH^{2+}$  with  $X^n$  in water are independent of the nature of X (for a given n, within the experimental uncertainty), whereas those of  $Fe(OH_2)_6^{3+}$  with  $X^n$  vary considerably<sup>227</sup> with X, as expected for  $D_{ch}$  and  $I_a$  processes respectively.

Recently, Devia and Watts<sup>228</sup> have argued, primarily on the basis of solvent activity coefficients, that the anation of hexakis (dimethylsulphoxide)iron(III) ion by thiocyanate in DMSO solvent proceeds by an  $I_d$  mechanism, yet they note that  $k_i$  (eqn. (5)) is essentially equal to  $k_{\rm ex}$  (for DMSO exchange) at 25°C and must be more than twenty times larger than  $k_{\rm ex}$  at 100°C — facts which indicate an  $I_a$  mechanism, just as for the analogous reaction of chromium(III)<sup>28</sup> The Devia—Watts approach may be taken as evidence against an A mechanism in the iron(III) system, but it probably cannot distinguish between  $I_a$  and  $I_a$ .

Our somewhat heretical hypothesis, that a change in the general mechanism of simple substitution in octahedral cations from  $I_d$  to  $I_a$  occurs on going from divalent to trivalent transition metals (other than cobalt), is actually entirely reasonable in terms of simple concepts of mechanistic preferences based on the charge density at the metal centre<sup>229</sup>. The charge density may be gauged by the charge/radius ratio, and is therefore much greater on  $M^{III}$  centres relative to  $M^{II}$  by virtue of their markedly smaller ionic radii<sup>143,144</sup> as well as their higher oxidation state. Thus, even though  $I_d$  processes may be universal for  $M^{II}$  substitutions,  $I_a$  mechanisms can be anticipated for  $M^{III}$ ,  $M^{IV}$ , etc.

The problem with this interpretation is then to explain the anomaly of cobalt(III). (Ironically, cobalt(III) substitutions have long been assumed to typify reactions of M(III) complexes in general!) This anomaly probably reflects the fact that the spin-paired Co<sup>3+</sup> ion has the smallest crystal ionic radius of all the trivalent transition metals<sup>174</sup>, and it is known<sup>139</sup> that severe steric strain exists even in the ground state of such a simple complex as Co(NH<sub>2</sub>CH<sub>3</sub>)<sub>5</sub>Cl<sup>2+</sup>. Accordingly, steric compression will favour dissociative and suppress associative processes in Co<sup>III</sup> complexes.

### J. POSTSCRIPT

Since this article was written, Dr. R.A. Jackson (University of Sussex) has drawn the author's attention to the existence of linear "Polanyi relationships" between  $E_a$  and  $\Delta H^0$  for several series of gas-phase radical transfer reactions<sup>230</sup>. The similarity between these and eqns. (13) and (24) will be apparent, and their theoretical basis is presumably similar to that developed in Section D(iv). Thus, for the abstraction of H atoms from alkanes by radicals  $\dot{R}$ , the slope  $\alpha$  of the Polanyi plot is 1.0 for  $R = NF_2$ , 0.86 for R = Br, 0.49 for  $R = CH_3$ , and zero for R = F (since  $E_a$  is itself zero in this case)<sup>230</sup>.

Finally, a recent paper by Espenson and Leslie<sup>231</sup> confirms our suspicions (Section C(vi)) that the recombination rate of Cr<sup>2+</sup> and CH<sub>2</sub>C<sub>5</sub>H<sub>4</sub>NH<sup>+</sup> estimated in ref. 60 is too low; the reasons for this discrepancy are not clear at present, but the qualitative aspects of our proposed homolytic mechanism<sup>60</sup> seem to remain valid.

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